

NONURBANIZED AREA FORMULA PROGRAM (49 U.S.C. § 5311)

The Section 5311 program provides funding for public transportation in nonurbanized areas. From fiscal year 1979, when the program was authorized, until fiscal year 1991, Congress appropriated \$65-75 million annually for Section 18. The annual appropriations increased under ISTEA with Section 5311 receiving 5.5% of the total appropriation for urbanized and nonurbanized areas, and again under TEA-21, with Section 5311 receiving 6.37% of the funds appropriated for formula programs for both urbanized and nonurbanized areas and for elderly and persons with disabilities. In fiscal year 1998, \$134.1 million was appropriated. In addition, since 1984 Section 5311 has been supplemented by funds transferred annually to Section 5311 from the Governor's apportionment of urbanized area formula funds for cities under 200,000. Flexible funds may also be transferred to Section 5311, and in FY 1998, \$22.4 million was so transferred.

FTA apportions funds for nonurbanized areas to the states according to a statutory formula based on each state's population in rural and small urban areas (under 50,000 population). The funds are available to the state for obligation for the year of apportionment plus two additional years. The states administer the program in accordance with State Management Plans. Eligible recipients include public bodies and private non-profit organizations. Participation by private for-profit enterprises under contract to an eligible recipient is encouraged.

The FTA financial assistance may be used for capital and administrative expenses, with a Federal share of eighty percent, and for operating expenses, with a Federal share of fifty percent. The state may use up to fifteen percent of its apportionment for program administration, planning and technical assistance, with no local match required. Coordination with other federally assisted transportation services is encouraged, and income received through purchase of service contracts with human service agencies may be used as local match.

Each state must spend fifteen percent of its appropriation for the support of intercity bus transportation, unless the governor certifies that the intercity bus transportation needs of the state are adequately met.

In FY 1998, \$149.7 million was obligated to 53 grantees (states and territories) under the Section 5311 program on behalf of numerous subrecipients.

RURAL TRANSIT ASSISTANCE PROGRAM

Since fiscal year 1987, Congress has appropriated \$4.25 to \$4.6 million a year for the state Rural Transit Assistance Program (RTAP) to provide training, technical assistance, research, and related support services, for providers of rural public transportation. FTA allocates funds to the states using the nonurbanized population based formula along with a floor of \$50,000 to each state (increased to \$65,000 in FY 1999), and \$10,000 to each insular area. There is no local share requirement. Additional RTAP funds are used to support a national program, which produces training materials and operates a national resource center. FTA obligated \$4.6 million to the states and \$750,000 for the national RTAP in FY 1998.

TABLE 32

NON-URBANIZED AREA FORMULA OBLIGATIONS IN FY 1998 BY STATE AND BY CATEGORY

STATE	NO. OF SUB- RECIP.	CAPITAL	OPERATING	PROJECT ADMIN.	STATE ADMIN.	INTERCITY BUS	TOTAL OBLIGATIONS	% OF TOTAL
Alabama	27	\$1,387,128	\$1,644,867	\$1,536,302	\$483,060	\$0	\$5,051,357	3.4
Alaska	7	1,086,200	316,629	19,533	72,034	72,035	1,566,431	1.0
American Samoa	0	102,088	0	0	8,846	0	110,934	0.1
Arizona	13	185,142	872,554	409,375	140,537	211,491	1,819,099	1.2
Arkansas	9	0	1,096,589	1,151,799	386,186	0	2,634,574	1.8
California	58	4,604,672	2,675,685	0	942,555	942,555	9,165,467	6.1
Colorado	10	1,997,693	141,358	14,482	187,785	0	2,341,318	1.6
Connecticut	5	0	807,685	0	60,143	0	867,828	0.6
Delaware	0	0	0	0	0	150,000	150,000	0.1
Florida	47	0	3,433,523	0	95,546	510,369	4,039,438	2.7
Georgia	-----	0	0	0	0	0	0	0.0
Guam	0	0	289,177	0	51,031	0	340,208	0.2
Hawaii	3	135,000	747,064	228,658	68,298	0	1,179,020	0.8
Idaho	5	4,960	547,931	200,422	152,925	159,924	1,066,162	0.7
Illinois	19	0	2,083,121	1,388,747	200,000	647,977	4,319,845	2.9
Indiana	20	449,580	2,852,228	0	50,000	243,486	3,595,294	2.4
Iowa	22	1,467,284	1,144,457	0	385,570	402,605	3,399,916	2.3
Kansas	13	734,748	1,955,920	0	52,500	320,260	3,063,428	2.0
Kentucky	23	0	2,795,840	0	200,000	528,680	3,524,520	2.4
Louisiana	13	0	2,040,525	0	437,255	437,255	2,915,035	1.9
Maine	12	863,356	463,476	549,296	210,992	210,996	2,298,116	1.5
Maryland	20	832,340	766,985	68,420	132,007	0	1,799,752	1.2
Massachusetts	16	180,000	1,066,922	344,580	188,199	282,300	2,062,001	1.4
Michigan	65	1,267,356	4,407,212	0	0	764,517	6,439,085	4.3
Minnesota	22	1,607,400	2,243,468	0	379,043	812,239	5,042,150	3.4
Mississippi	16	72,316	1,793,721	737,455	429,320	429,320	3,462,132	2.3
Missouri	31	0	2,527,640	0	130,000	0	2,657,640	1.8
Montana	9	83,200	650,923	0	0	129,552	863,675	0.6
Nebraska	58	140,693	1,038,930	0	61,965	61,588	1,303,176	0.9
Nevada	6	0	140,000	70,000	42,547	172,920	425,467	0.3
New Hampshire	8	2,429,800	594,435	586,320	174,979	0	3,785,534	2.5
New Jersey	17	73,255	2,493,140	132,209	299,842	0	2,998,446	2.0
New Mexico	14	96,000	489,355	408,470	125,000	261,376	1,380,201	0.9
New York	68	2,159,336	2,613,141	0	850,475	850,476	6,473,428	4.3
North Carolina	49	0	303,752	4,584,887	673,817	0	5,562,456	3.7
North Dakota	26	72,060	395,032	75,825	0	95,809	638,726	0.4
Northern Marianas	0	126,428	0	0	0	0	126,428	0.1
Ohio	34	3,683,777	1,640,806	0	0	919,780	6,244,363	4.2
Oklahoma	18	152,210	1,757,450	436,651	275,000	0	2,621,311	1.8
Oregon	29	933,370	903,889	107,654	384,078	392,789	2,721,780	1.8
Pennsylvania	33	0	5,685,464	0	400,000	1,026,025	7,111,489	4.8
Puerto Rico	5	1,783,505	0	0	450,906	570,779	2,805,190	1.9
Rhode Island	1	0	0	610,980	3,740	0	614,720	0.4
South Carolina	15	0	1,538,530	1,023,852	452,184	0	3,014,566	2.0
South Dakota	14	0	418,900	346,700	12,957	0	778,557	0.5
Tennessee	3	159,294	2,412,531	1,319,615	0	0	3,891,440	2.6
Texas	-----	0	0	0	0	0	0	0.0
Utah	4	369,132	44,000	0	88,528	88,529	590,189	0.4
Vermont	10	5,196,800	419,962	276,136	150,000	0	6,042,898	4.0
Virginia	17	1,399,065	3,120,983	0	0	0	4,520,048	3.0
Virgin Islands	3	0	126,640	0	22,348	0	148,988	0.1
Washington	18	1,721,490	441,820	0	274,455	362,622	2,800,387	1.9
West Virginia	11	332,671	1,414,549	0	308,332	0	2,055,552	1.4
Wisconsin	49	999,830	3,178,269	0	125,000	458,025	4,761,124	3.2
Wyoming	4	2,602	248,101	111,927	59,610	74,513	496,753	0.3
TOTAL	999	\$38,891,781	\$70,785,179	\$16,740,295	\$10,679,595	\$12,590,792	\$149,687,642	100.0
<i>Percent of Total</i>		<i>26.0</i>	<i>47.3</i>	<i>11.2</i>	<i>7.1</i>	<i>8.4</i>	<i>100.0</i>	

NOTE: The following obligations (included in the Capital column) are for preventive maintenance:
 \$60,560 -- Maryland; \$13,860 -- Maine.

TABLE 33
NON-URBANIZED AREA FORMULA FUNDS OBLIGATED IN FY 1998 FOR INTERCITY BUS BY CATEGORY

STATE	CAPITAL	OPERATING	PLANNING	PROJECT ADMIN.	STATE ADMIN.	PROGRAM RESERVE	TOTAL OBLIGATIONS	% OF TOTAL
Alabama	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0
Alaska	0	0	0	72,035	0	0	72,035	0.6
American Samoa	0	0	0	0	0	0	0	0.0
Arizona	0	211,491	0	0	0	0	211,491	1.7
Arkansas	0	0	0	0	0	0	0	0.0
California	0	0	0	0	0	942,555	942,555	7.5
Colorado	0	0	0	0	0	0	0	0.0
Connecticut	0	0	0	0	0	0	0	0.0
Delaware	0	150,000	0	0	0	0	150,000	1.2
Florida	312,000	198,369	0	0	0	0	510,369	4.1
Georgia	0	0	0	0	0	0	0	0.0
Guam	0	0	0	0	0	0	0	0.0
Hawaii	0	0	0	0	0	0	0	0.0
Idaho	0	109,178	0	50,746	0	0	159,924	1.3
Illinois	0	0	0	0	0	647,977	647,977	5.1
Indiana	37,200	155,686	0	50,600	0	0	243,486	1.9
Iowa	402,605	0	0	0	0	0	402,605	3.2
Kansas	140,000	148,260	0	25,400	0	6,600	320,260	2.5
Kentucky	0	528,680	0	0	0	0	528,680	4.2
Louisiana	0	0	0	0	0	437,255	437,255	3.5
Maine	116,404	94,592	0	0	0	0	210,996	1.7
Maryland	0	0	0	0	0	0	0	0.0
Massachusetts	0	197,612	0	84,688	0	0	282,300	2.2
Michigan	0	0	0	0	0	764,517	764,517	6.1
Minnesota	0	0	812,239	0	0	0	812,239	6.5
Mississippi	360,628	0	68,692	0	0	0	429,320	3.4
Missouri	0	0	0	0	0	0	0	0.0
Montana	0	0	0	0	0	129,552	129,552	1.0
Nebraska	0	0	0	53,978	7,610	0	61,588	0.5
Nevada	0	150,000	0	22,920	0	0	172,920	1.4
New Hampshire	0	0	0	0	0	0	0	0.0
New Jersey	0	0	0	0	0	0	0	0.0
New Mexico	109,000	107,927	0	44,449	0	0	261,376	2.1
New York	400,476	450,000	0	0	0	0	850,476	6.8
North Carolina	0	0	0	0	0	0	0	0.0
North Dakota	9,000	86,809	0	0	0	0	95,809	0.8
Northern Marianas	0	0	0	0	0	0	0	0.0
Ohio	0	0	0	0	0	919,780	919,780	7.3
Oklahoma	0	0	0	0	0	0	0	0.0
Oregon	80,400	241,077	0	3,312	68,000	0	392,789	3.1
Pennsylvania	0	816,025	210,000	0	0	0	1,026,025	8.1
Puerto Rico	0	0	0	0	0	570,779	570,779	4.5
Rhode Island	0	0	0	0	0	0	0	0.0
South Carolina	0	0	0	0	0	0	0	0.0
South Dakota	0	0	0	0	0	0	0	0.0
Tennessee	0	0	0	0	0	0	0	0.0
Texas	0	0	0	0	0	0	0	0.0
Utah	0	0	88,529	0	0	0	88,529	0.7
Vermont	0	0	0	0	0	0	0	0.0
Virginia	0	0	0	0	0	0	0	0.0
Virgin Islands	0	0	0	0	0	0	0	0.0
Washington	262,622	100,000	0	0	0	0	362,622	2.9
West Virginia	0	0	0	0	0	0	0	0.0
Wisconsin	0	458,025	0	0	0	0	458,025	3.6
Wyoming	0	74,513	0	0	0	0	74,513	0.6
TOTAL	\$2,230,335	\$4,278,244	\$1,179,460	\$408,128	\$75,610	\$4,419,015	\$12,590,792	100.0
<i>Percent of Total</i>	<i>17.7</i>	<i>34.0</i>	<i>9.4</i>	<i>3.2</i>	<i>0.6</i>	<i>35.1</i>	<i>100.0</i>	

NOTE: \$402,605 of Capital for Iowa is for preventive maintenance.

TABLE 34

FY 1998 NON-URBANIZED AREA FORMULA OBLIGATIONS FOR VEHICLES

	35' - 40' BUS		30' BUS		<30' BUS		TROLLEY STYLE BUS		COMMUTER/ INTERCITY BUS		VANS		STATION WAGONS & SEDANS		FY 1998 VEHICLE TOTAL	
	#	\$	#	\$	#	\$	#	\$	#	\$	#	\$	#	\$	#	\$
Alabama					12	\$494,000					29	\$870,000			41	\$1,364,000
Alaska			3	727,760							5	322,052			8	1,049,812
American Samoa															0	0
Arizona					2	100,600									2	100,600
Arkansas															0	0
California	4	1,145,024	4	448,567	18	1,488,915					23	1,083,739			49	4,166,245
Colorado	5	1,192,000	1	180,000	7	445,600									13	1,817,600
Connecticut															0	0
Delaware															0	0
Florida			2	144,000	4	160,000									6	304,000
Georgia															0	0
Guam															0	0
Hawaii					2	120,000									2	120,000
Idaho															0	0
Illinois															0	0
Indiana					3	156,496					5	112,000	8	118,400	16	386,896
Iowa					2	166,000					34	1,147,444			36	1,313,444
Kansas											25	803,800	3	52,200	28	856,000
Kentucky															0	0
Louisiana															0	0
Maine					9	594,000	3	213,496	1	116,404					13	923,900
Maryland	3	251,065			8	377,035					4	114,640			15	742,740
Massachusetts					3	180,000									3	180,000
Michigan					5	235,590	2	320,000			2	56,466			9	612,056
Minnesota					27	1,607,400									27	1,607,400
Mississippi									1	360,628					1	360,628
Missouri															0	0
Montana					2	83,200									2	83,200
Nebraska											6	117,043			6	117,043
Nevada															0	0
New Hampshire					5	348,000	1	120,000	6	1,818,000	1	31,400			13	2,317,400
New Jersey					2	48,684									2	48,684
New Mexico					1	75,000					4	124,000			5	199,000
New York			5	1,044,332	30	1,515,480									35	2,559,812
North Carolina															0	0
North Dakota					1	37,000					1	24,500			2	61,500
No. Marianas															0	0
Ohio	2	417,200			1	152,000					48	1,773,296	14	269,727	65	2,612,223
Oklahoma					2	69,720					2	68,890			4	138,610
Oregon			3	432,072							17	565,598			20	997,670
Pennsylvania															0	0
Puerto Rico							8	795,192			1	48,000			9	843,192
Rhode Island															0	0
South Carolina															0	0
South Dakota															0	0
Tennessee											10	109,130			10	109,130
Texas															0	0
Utah			1	176,446	4	192,686									5	369,132
Vermont	2	320,000	2	160,000	1	56,000					7	208,000			12	744,000
Virginia			3	396,000							35	1,003,065			38	1,399,065
Virgin Islands															0	0
Washington	4	765,681	3	579,752	5	276,961					8	256,000			12	1,622,394
West Virginia					1	60,000									9	316,000
Wisconsin					7	538,672					6	120,400	11	216,040	24	875,112
Wyoming															0	0
TOTAL	20	\$4,090,970	27	\$4,288,929	164	\$9,579,039	14	\$1,448,688	8	\$2,295,032	273	\$8,959,463	36	\$656,367	542	\$31,318,488
% of Vehs. by Type	3.7		5.0		30.3		2.6		1.5		50.4		6.6		100.0	

NOTE: In the 35'-40' column, the following are 40' buses: CO - 4; \$1,000,000; MD - 3; \$251,065; WA - 1; \$305,400 (\$89,000 is supplemental funding for a previous purchase).

TABLE 35
FY 1998 RURAL TRANSIT ASSISTANCE PROGRAMS OBLIGATIONS
BY STATE AND BY ACTIVITY

	TRAINING	TECHNICAL ASSISTANCE	RESEARCH	SUPPORT SERVICES	TOTAL	% OF TOTAL
Alabama	\$30,000	\$40,000	\$0	\$29,894	\$99,894	2.2
Alaska	53,440	4,000	0	0	57,440	1.2
American Samoa	11,004	0	0	0	11,004	0.2
Arizona	48,660	14,000	4,000	5,182	71,842	1.6
Arkansas	89,888	0	0	0	89,888	1.9
California	147,355	0	0	0	147,355	3.2
Colorado	70,781	0	0	0	70,781	1.5
Connecticut	65,000	3,851	0	0	68,851	1.5
Delaware	54,703	0	0	0	54,703	1.2
Florida	90,000	12,584	0	5,000	107,584	2.3
Georgia	0	0	0	0	0	0.0
Guam	0	0	0	0	0	0.0
Hawaii	14,000	43,748	0	0	57,748	1.2
Idaho	25,000	15,000	0	26,518	66,518	1.4
Illinois	29,232	58,464	11,693	17,539	116,928	2.5
Indiana	58,924	48,918	3,336	0	111,178	2.4
Iowa	91,584	0	0	0	91,584	2.0
Kansas	22,681	39,887	17,432	3,079	83,079	1.8
Kentucky	60,606	39,000	0	10,000	109,606	2.4
Louisiana	68,428	11,500	0	15,235	95,163	2.1
Maine	60,793	0	0	11,000	71,793	1.6
Maryland	57,208	5,000	10,000	5,000	77,208	1.7
Massachusetts	47,916	15,000	0	16,242	79,158	1.7
Michigan	128,965	0	0	0	128,965	2.8
Minnesota	47,999	5,000	25,000	15,000	92,999	2.0
Mississippi	47,172	47,172	0	0	94,344	2.0
Missouri	102,926	0	0	0	102,926	2.2
Montana	36,381	27,000	0	0	63,381	1.4
Nebraska	37,290	32,900	0	0	70,190	1.5
Nevada	30,000	20,000	0	6,592	56,592	1.2
New Hampshire	46,016	16,437	0	5,000	67,453	1.5
New Jersey	84,000	40,000	0	24,569	148,569	3.2
New Mexico	40,000	0	0	28,564	68,564	1.5
New York	58,795	53,355	0	25,694	137,844	3.0
North Carolina	78,823	54,461	0	10,032	143,316	3.1
North Dakota	37,500	22,396	0	0	59,896	1.3
No. Marianas	0	0	0	0	0	0.0
Ohio	30,000	115,002	0	0	145,002	3.1
Oklahoma	52,000	38,612	0	0	90,612	2.0
Oregon	43,100	39,147	0	0	82,247	1.8
Pennsylvania	20,000	20,000	0	115,976	155,976	3.4
Puerto Rico	81,637	30,000	20,000	30,000	161,637	3.5
Rhode Island	134,684	9,057	0	10,000	153,741	3.3
South Carolina	73,705	0	0	23,000	96,705	2.1
South Dakota	10,000	30,000	10,000	12,062	62,062	1.3
Tennessee	110,290	0	0	0	110,290	2.4
Texas	0	0	0	0	0	0.0
Utah	26,144	23,000	10,000	0	59,144	1.3
Vermont	25,000	35,785	0	0	60,785	1.3
Virginia	80,000	23,454	0	0	103,454	2.2
Virgin Islands	12,308	0	0	0	12,308	0.3
Washington	54,454	28,000	0	5,000	87,454	1.9
West Virginia	32,847	45,000	0	4,000	81,847	1.8
Wisconsin	68,022	25,241	0	37,611	130,874	2.8
Wyoming	35,990	10,498	0	11,208	57,696	1.2
TOTAL	\$2,863,251	\$1,142,469	\$111,461	\$508,997	\$4,626,178	100.0
<i>Percent by Type</i>	<i>61.9</i>	<i>24.7</i>	<i>2.4</i>	<i>11.0</i>	<i>100.0</i>	

NOTE: In addition to the state RTAP obligations shown in this table, national RTAP obligations equalled \$750,000.

TABLE 36

FY 1998 OBLIGATIONS FOR METROPOLITAN / STATEWIDE PLANNING AND RESEARCH AND CONSOLIDATED PLANNING GRANTS
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	METROPOLITAN SECTION 5303	STATEWIDE SECTION 5313	TOTAL
Alabama	\$403,828	\$92,760	\$496,588
Alaska	158,502	42,360	200,862
Arizona	1,326,378	272,169	1,598,547
Connecticut	453,688	123,796	577,484
District of Columbia	428,032	41,396	469,428
Florida	2,112,873	513,084	2,625,957
Georgia	749,772	164,380	914,152
Hawaii	158,502	42,360	200,862
Idaho	0	42,360	42,360
Illinois	2,312,151	427,436	2,739,587
Indiana	564,428	135,746	700,174
Kansas	0	51,351	51,351
Kentucky	245,800	64,368	310,168
Louisiana	438,000	112,321	550,321
Maine	143,420	42,360	185,780
Maryland	920,920	180,552	1,101,472
Massachusetts	1,119,368	238,476	1,357,844
Michigan	1,470,219	293,024	1,763,243
Minnesota	574,833	119,526	694,359
Mississippi	184,085	60,867	244,952
Missouri	695,407	140,286	835,693
Montana	181,944	41,396	223,340
Nebraska	167,158	42,360	209,518
Nevada	508,010	45,931	553,941
New Hampshire	158,500	42,360	200,860
New Jersey	1,999,637	334,220	2,333,857
New Mexico	158,502	42,360	200,862
New York	3,975,833	711,632	4,687,465
North Carolina	595,836	126,681	722,517
Oklahoma	252,356	68,276	320,632
Oregon	286,490	71,589	358,079
Pennsylvania	1,909,472	363,348	2,272,820
Puerto Rico	423,708	107,140	530,848
Rhode Island	158,800	83,504	242,304
South Carolina	263,304	71,928	335,232
South Dakota	178,488	42,360	220,848
Tennessee	414,480	111,810	526,290
Texas	2,663,133	573,309	3,236,442
Utah	246,280	66,544	312,824
Virginia	855,877	193,069	1,048,946
West Virginia	158,502	42,360	200,862
Wisconsin	557,792	124,254	682,046
Wyoming	213,516	46,000	259,516
TOTAL	\$30,787,824	\$6,553,409	\$37,341,233

	CONSOLIDATED PLANNING GRANTS		TOTAL
	FTA FUNDS OBLIGATED	FHWA FUNDS OBLIGATED	
Arkansas	\$200,862	\$956,555	\$1,157,417
California	9,091,941	27,675,031	36,766,972
Delaware	151,685	464,217	615,902
Idaho	188,436	915,574	1,104,010
Iowa	219,308	833,434	1,052,742
Maryland	0	3,672,684	3,672,684
North Dakota	211,996	2,213,788	2,425,784
Ohio	1,707,507	6,498,105	8,205,612
Vermont	0	2,372,740	2,372,740
Washington	140,516	3,091,256	3,231,772
TOTAL	\$11,912,251	\$48,693,384	\$60,605,635

INTERSTATE SUBSTITUTE TRANSIT PROGRAM

The Interstate Substitute program was established by the 1973 Federal-Aid Highway Act and amended by subsequent legislation. The law permits State and local officials to withdraw planned Interstate routes, or segments which were within or which connect urbanized areas, and to substitute mass transit or non-interstate highway projects. Withdrawal requests were reviewed and approved jointly by FTA and the Federal Highway Administration (FHWA).

As the Interstate Highway System itself draws near its completion, substitute projects of either highway or transit nature have largely been completed, and this is reflected in the lowering level of obligations. The level of obligations for the Interstate Substitute transit program reached a peak of \$679 million in FY 1980. Since then, there has been a general downward trend in the annual amounts obligated for this program. FY 1995 was the last year in which Interstate Substitute funds were appropriated. No flexible funds were transferred to this program in FY 1998. The total FY 1998 obligations of \$12,386,701 used carry over funds from previous fiscal years. Funds were obligated by three FTA grantees.

Substitute funds may be used for a wide variety of highway and public mass transit projects. Interstate grants for transit projects can finance, for example, the construction and improvements of transit facilities, the purchase of rolling stock and other transportation equipment. The Federal participation of Interstate Substitute transit projects is 85 percent.

<u>AREA</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
Baltimore, MD	Bus Other	\$5,381,084
State of Illinois (Chicago)	Fixed Guideway	1,016,226
San Francisco-Oakland, CA	Fixed Guideway	5,989,391
	Total FY 1998 Obligations:	\$12,386,701

Table 51 provides a history of obligations under the Interstate Substitute program from its beginning in FY 1974 through FY 1998.

WASHINGTON, DC METRORAIL CONSTRUCTION

Since the initial groundbreaking ceremonies in December 1969, the Washington Metropolitan Area Transit Authority (WMATA) metrorail system has become one of the largest and most efficient systems in the country. On March 1976, Phase I of Metrorail opened for revenue service. This phase consisted of 4.2 miles. Five stations opened on the Red Line: Rhode Island Avenue, Union Station, Judiciary Square, Metro Center, and Farragut North. This first day of revenue service accommodated 19,913 passengers. As of June 1997, 75 stations have opened for revenue service. To date, the system has cost \$8.8 billion. By the year 2001, Metrorail will consist of 83 stations and 103 miles of heavy rail line.

The original federal funding for construction of the Metrorail system was provided by the authority of the National Capital Transportation Act of 1969 (Public Law 91-143). This Act was subsequently amended on January 3, 1980 by Public Law 96-184, "The National Capital Transportation Amendment of 1979" (also known as the Stark-Harris Act) which authorized additional funding (\$1.7 billion). The funds available under the Stark-Harris Act permitted the completion of 89.5 miles of the Metrorail system as provided under the terms of a Full Funding Grant Agreement executed with WMATA in July 1986. On November 15, 1990 by Public Law 101-551, "The National Capital Transportation Amendments of 1990" which authorized funding of \$1.3 billion in federal funds or 62.5 percent matching Federal funds to finance construction of the remaining 13.5 miles of the 103-mile system. Full funding grant agreements were executed to complete the final 13.5 miles.

The Fast Track Program was conceived to save taxpayers \$600 million in the construction of the remaining 13.5 miles of Metro by accelerating construction, shaving five years off previous schedules. The Fast Track Program was founded on the following principles:

To complete as much construction as possible within the available authorizations and local match;

The savings made in construction of any line will be applied to other lines

Construction of all remaining lines will proceed as rapidly as possible; and

Improved budgetary and scheduling controls will be used to maintain completion dates and control costs

The Fast Track consists of four segments:

Blue Line from Van Dorn Street to Franconia-Springfield containing 3.3 miles and one multi-modal station, opened for revenue service in June 1997.

Red Line from Wheaton to Glenmont providing 1.4 miles, one station and a rail car storage yard, opened in July 1998 for service.

Green Line from U Street-Cardozo to Fort Totten building 2.9 miles and two stations, scheduled to open in late 1999; and

Green Line from Anacostia to Branch Avenue constructing 6.4 miles with five stations scheduled for completion in early 2001.

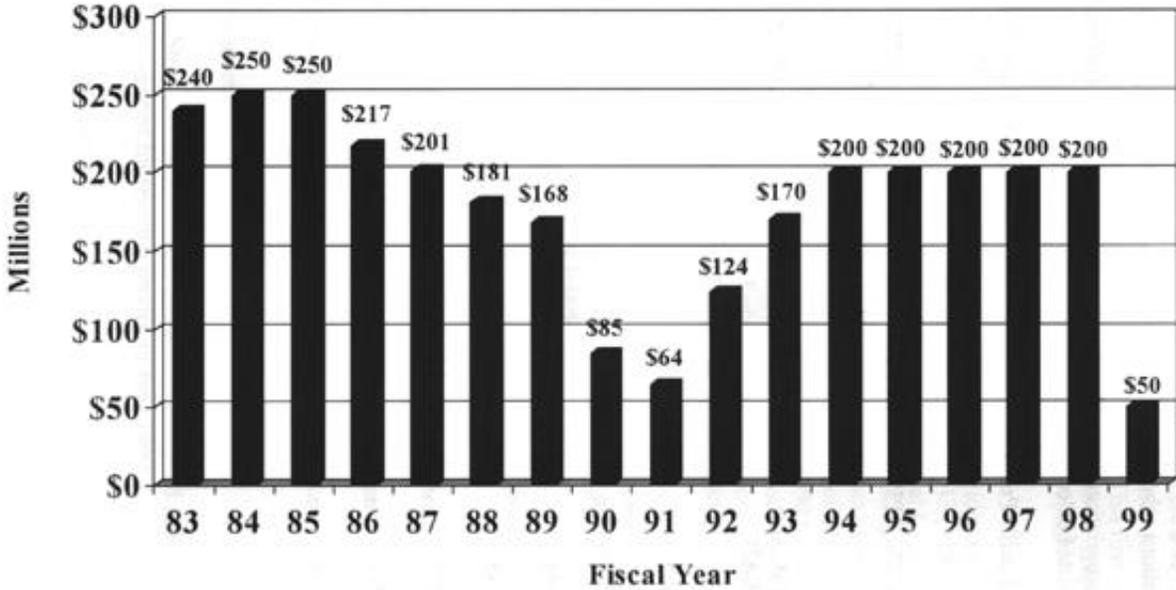
Total appropriations and obligations for Metrorail construction for fiscal years 1992 through 1998 are listed below:

Contact: Lucille Pearson, Office of Program Management, 202-366-1650.

Table 37 APPROPRIATIONS AND OBLIGATIONS FOR CONSTRUCTION OF WMATA METRORAIL

FISCAL YEAR	APPROPRIATIONS	OBLIGATIONS
1992	\$ 95.9	\$95.5
1993	153.1	153.1
1994	198.8	198.8
1995	199.0	199.0
1996	199.0	199.0
1997	199.0	199.0
1998	199.0	199.0

Figure 37
WMATA RAIL CONSTRUCTION
PROGRAM FEDERAL FUNDING



NOTE: PROJECT MANAGEMENT FUNDING IS INCLUDED.
INCLUDES STARK-HARRIS AND NATIONAL CAPITAL TRANSPORTATION AMENDMENTS.

STATE INFRASTRUCTURE BANK PILOT PROGRAM

The State Infrastructure Bank (SIB) pilot program was enacted by Congress in Section 350 of the National Highway System Designation Act of 1995 (NHS Act). As described in Section 350, a SIB is a State or multi-State entity that administers an investment fund with the capability to make loans and provide other forms of credit assistance to public and private entities to carry out highway construction and transit capital projects.

TEA-21 provided for a revised pilot program in four states: California, Florida, Missouri, and Rhode Island. These four states may enter into new or revised cooperative agreements that specify procedures and guidelines for establishing, operating, and providing assistance from the infrastructure bank. The revised pilot program does not set a limit on the amount of Federal funds that can be used to capitalize the infrastructure bank. Funds from Sections 5307, 5310, and 5311 (as well as Federal highway funds) can be used to capitalize the bank.

No funds were obligated for this purpose in FY 1998.

FLEXIBLE FUNDS

The 1991 ISTEA legislation provided flexible funding opportunities to state and local governments allowing them the option of using some Federal Highway Administration (FHWA) funds for transit projects and vice versa. The availability of these funds has been continued with the passage of TEA-21 during FY 1998. These funds can be transferred to Sections 5307, 5310, 5311, 5313(b) and the Interstate Substitute Program to support transit projects, and from FTA to FHWA to support highway projects. During the past seven years, a cumulative total of \$3.9 billion was transferred from FHWA; \$3.7 billion was obligated by FTA for transit projects. Flexible funds accounted for 11.7% of the total FTA obligations (programs covered in this report) during FY 1992-98. In addition, FTA transferred \$19.7 million of Section 5307 funds to FHWA during the past seven years.

The flexible funds transferred from FHWA are drawn from the following sources:

Surface Transportation Program (STP). STP is the largest FHWA flexible funds program. Funding is at 80 percent Federal share and may be used for all projects eligible for funds under current FTA programs excluding Section 5307 operating assistance.

Congestion Mitigation and Air Quality Improvement (CMAQ) Program. CMAQ funds are used to support transportation projects in air quality nonattainment areas. A CMAQ project must contribute to the attainment of the national ambient air quality standards by reducing pollutant emissions from transportation sources.

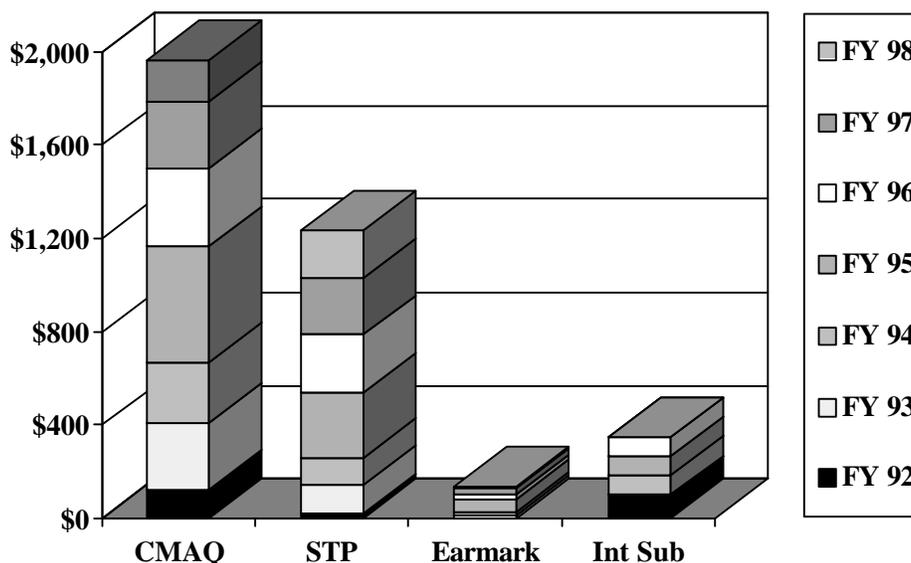
Interstate Substitute Funds. While these Highway funds are eligible for transit use, they are limited to the construction and improvements of fixed guideways, the purchase of rolling stock (buses) and other transportation equipment, and any other project eligible under FTA's Section 5309 capital grant program.

FHWA Earmark. Several transit projects are earmarked under ISTEA Title I as innovative demonstration, congestion relief and intermodal projects. Although these funds are not technically "flexible funds", FHWA asked that they be administered by FTA. FHWA earmarked funds are transferred into the Section 5309 program.

In FY 1998, there was a total of \$633.9 million available flexible funds of which \$467.3 million was transferred in FY 1998. The remaining available \$166.6 million was the unobligated carryover of prior year transfers. Throughout the 7-year authorization, CMAQ led in the requests for transfers of flexible funds, with a cumulative percentage of 53%. Although in FY 1998, the CMAQ transfers amounted for only 48% (\$223.3 million) of the total FY 1998 transfers. For the first time, CMAQ transfers were exceeded by STP transfers (\$243.9 million, or 52% of the total). STP funds accounted for 34% of transfers from FY 1992 - 1998. Transfers from the Interstate Substitute program represent 9% of the FY 1992 - 1998 total. No Interstate Substitute funds were transferred in FY 1998. During the 7-year period, FHWA earmarks amounted to 4% of the total.

For the third year in a row, the flexible fund obligations fell in FY 1998. Obligations in FY 1998 totalled \$384.0 million, down from \$559.5 million in FY 1997, \$686.6 million in FY 1996, and the high of \$907.3 million in FY 1995. The composition of the FY 1998 obligations is \$174.4 million (45 percent) in CMAQ; \$205.9 million (54 percent) in STP; \$3.7 million (1 percent) in FHWA earmarks. By program, obligations for FY 1998 were: Urbanized Area Formula - \$325.6 million (85%); Capital - \$8.7 million (2%); Elderly and Persons with Disabilities - \$29.5 million (8%); and Non-urbanized Area Formula - \$20.2 million (5%). No Interstate Substitute funds were obligated during FY 1998. The FY 1998 obligations represented 199 projects in 37 states compared to 217 in 43 states last year.

The following chart shows cumulative obligations (in millions of dollars) of flexible funds since FY 1992, by type of funds and fiscal year.



FTA Funds Transferred for Highway Projects. In FY 1998, FTA received two requests totaling \$1.7 million to transfer funds to FHWA for highway-related activities. Cumulatively, \$19.7 million in urbanized area formula capital funds has been transferred to FHWA for highway-related activities.

Table 38

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
AK-DOT	5310	Purchase vehicles	0	277,400	277,400	277,400
AK-DOT	5311	Purchase vehicles	227,400	858,800	1,086,200	1,086,200
Subtotal (AK)		(2 projects)	227,400	1,136,200	1,363,600	1,363,600
AL-Birmingham	5307	Capital assistance	0	120,000	120,000	120,000
AL-DOT	5310	Purchase vehicles / equipment	1,000,000	600,000	1,600,000	1,600,000
Subtotal (AL)		(2 projects)	1,000,000	720,000	1,720,000	1,720,000
AZ-Phoenix	5307	Purchase buses	0	2,302,866	2,302,866	2,302,866
AZ-Phoenix	5307	Purchase vehicles / equipment	14,610,300	0	14,610,300	0
AZ-Tucson	5307	Bus shelters; Rehab admin/maint fac	0	1,000,000	1,000,000	1,000,000
Subtotal (AZ)		(3 projects)	14,610,300	3,302,866	17,913,166	3,302,866
CA-DOT	5307	Capital assistance	126,000	0	126,000	0
CA-DOT	5307	Carryover from old project	38	0	38	0
CA-DOT	5307	Planning	170,360	0	170,360	170,360
CA-DOT	5310	Capital leasing for ADA service	0	26,636,464	26,636,464	26,636,464
CA-DOT	5311	Carryover from old project	12	0	12	0
CA-DOT	5311	Purchase vehicles	2,811,630	70,140	2,881,770	2,881,770
CA-Fairfield	5307	Rehab 8 buses	0	506,160	506,160	0
CA-Los Angeles	5307	Support green line operations	21,200,000	0	21,200,000	21,200,000
CA-Los Angeles	5307	Purchase buses; Transit center	1,498,400	0	1,498,400	1,498,400
CA-Los Angeles	5307	Construct Kaiser Portal project	0	2,528,000	2,528,000	0
CA-Los Angeles	5307	Sprt grn line op; Purch vehs/equip	16,960,000	10,000,000	26,960,000	26,960,000
CA-Los Angeles	5307	Rail line construction	20,694,961	0	20,694,961	0
CA-Napa	5307	Purchase equip; Upgrade maint bldg	0	1,400,000	1,400,000	0
CA-Oxnard-Ventura	5307	Rail replacement; Bus lease	331,700	0	331,700	331,700
CA-Palm Springs	5307	Purchase vehicles	230,178	0	230,178	230,178
CA-Riverside	5307	Purchase CNG paratransit vehicles	230,740	0	230,740	230,740
CA-Riverside	5307	Purchase vehicles / equipment	1,185,550	0	1,185,550	1,185,550
CA-Sacramento	5307	Purchase spare parts / radios	0	103,923	103,923	0
CA-Sacramento	5307	Carryover from old project	260	0	260	0
CA-Sacramento	5307	Purchase vehs; CNG fueling facility	708,240	0	708,240	708,240
CA-Sacramento	5307	Capital assistance	0	2,776,000	2,776,000	2,776,000
CA-Sacramento	5307	Double track project	2,460,000	3,424,000	5,884,000	5,884,000
CA-San Francisco	5307	Purchase trolley coaches	0	26,281,395	26,281,395	0
CA-San Francisco	5307	Purchase vehicles	0	290,000	290,000	0
CA-San Francisco	5307	Purchase trolley buses / LRT cars	0	35,036,020	35,036,020	0
CA-San Francisco	5307	Tire replacement; Communic. equip	0	2,975,830	2,975,830	2,975,830
CA-San Francisco	5307	Purchase vans	0	107,529	107,529	107,529
CA-San Francisco	5307	Purchase equipment	0	859,980	859,980	859,980
CA-San Francisco	5307	Rehab track, stations, locos, rail cars	0	1,000,000	1,000,000	0
CA-San Francisco	5307	Purchase / rehab buses	0	515,000	515,000	515,000
CA-San Francisco	5307	Purchase vehicles / equipment	0	283,000	283,000	283,000
CA-San Francisco	5307	Equipment & ped mall	0	780,000	780,000	0
CA-San Francisco	5307	Purchase vehicles	0	48,000	48,000	0
CA-Santa Barbara	5307	Operation of fixed route service	304,000	0	304,000	304,000
CA-Santa Cruz	5307	Purchase vehicles	700,000	35,000	735,000	0
CA-Santa Rosa	5307	Carryover from old project	0	250	250	0
CA-Seaside-Monterey	5307	Purchase vehicles	1,143,000	633,000	1,776,000	1,776,000
CA-Simi Valley	5307	Purchase buses / equipment	1,138,000	0	1,138,000	1,138,000
CA-Stockton	5307	Purchase diesel buses	964,988	0	964,988	964,988
CA-Stockton	5307	Purchase vehicles / equipment	0	528,000	528,000	528,000
Subtotal (CA)		(36 projects)	72,858,057	116,817,691	189,675,748	100,145,729
CO-Colorado Springs	5307	New service	156,000	0	156,000	156,000
CO-Denver	5307	Construct rail line & stations	0	2,344,000	2,344,000	2,344,000
CO-Denver	5307	Construct LRT	0	6,000,000	6,000,000	6,000,000
CO-DOT	5311	Purchase vehicles	0	2,000,000	2,000,000	1,000,000
Subtotal (CO)		(4 projects)	156,000	10,344,000	10,500,000	9,500,000
CT-DOT	5307	Develop regional transit strategy	0	640,000	640,000	0
Subtotal (CT)		(1 project)	0	640,000	640,000	0
DC-Washington	5307	Purchase vehicles	3,032,400	2,400,000	5,432,400	0
DC-Washington	5307	Touch screen kiosk	76,000	0	76,000	76,000
DC-Washington	5307	Service enhancements / incentives	1,500,000	900,000	2,400,000	2,400,000
Subtotal (DC)		(3 projects)	4,608,400	3,300,000	7,908,400	2,476,000
FL-Ft. Lauderdale	5307	Double-tracking improvements	2,066,000	0	2,066,000	0
FL-Miami	5307	Purchase land for ROW, pkg. station	8,181,130	0	8,181,130	8,181,130
FL-Orlando	5307	Purchase vehicles / equipment	0	3,032,016	3,032,016	3,032,016

Table 38

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
FL-St. Petersburg	5307	Purchase small trolley buses	407,198	0	407,198	407,198
FL-Sarasota	5307	Purchase vehicles	0	1,325,667	1,325,667	1,325,667
FL-Tampa	5307	Purchase vehicles	3,225,000	0	3,225,000	0
FL-Tampa	5307	Purchase buses	0	6,453,750	6,453,750	0
Subtotal (FL)		(7 projects)	13,879,328	10,811,433	24,690,761	12,946,011
GA-Atlanta	5307	Design/constr ADA access mods	0	304,832	304,832	304,832
GA-Atlanta	5307	Intelligent transportation system	2,616,000	0	2,616,000	2,616,000
GA-Atlanta	5307	Air quality improvement	824,000	0	824,000	664,000
GA-Atlanta	5307	Purchase paratransit vans	0	624,000	624,000	0
GA-Atlanta	5307	Prev. maint., Purchase vehicle	734,600	0	734,600	0
GA-Atlanta	5307	Transit incentive program	300,000	0	300,000	300,000
GA-Atlanta	5307	ITS	2,216,000	0	2,216,000	0
GA-Atlanta	5307	Purchase vans	0	2,895,168	2,895,168	2,895,168
GA-Augusta	5307	Purchase vehicles / equipment	0	40,000	40,000	40,000
Subtotal (GA)		(9 projects)	6,690,600	3,864,000	10,554,600	6,820,000
IA-Des Moines	5307	Purchase vans; New service	369,240	0	369,240	369,240
IA-DOT	5311	Purchase vehicles & garage	0	715,883	715,883	715,883
IA-Iowa City	5307	Short-range planning assistance	0	28,000	28,000	28,000
IA-Waterloo	5307	Purchase vehicles	0	70,000	70,000	0
Subtotal (IA)		(4 projects)	369,240	813,883	1,183,123	1,113,123
IL-Chicago (RTD)	5307	Rehab station/cars	0	1,000	1,000	0
IL-Chicago	5307	Carryover from old project	0	50	50	0
IL-Chicago	5307	Construction of commuter parking lot	600,000	0	600,000	400,000
IL-Chicago	5307	Complete construction of PNR	80,000	0	80,000	80,000
IL-Chicago	5307	Construct intermodal transp. center	0	300,000	300,000	300,000
IL-Chicago	5307	Construct parking lot; Station rehab	396,000	0	396,000	396,000
IL-Chicago	5307	Construct parking lot; Station rehab	1,607,200	0	1,607,200	1,607,200
IL-Chicago	5307	Continue UP-CSX study	0	56,000	56,000	56,000
IL-Chicago	5307	Final engrng. & ROW acq. for station	160,000	0	160,000	160,000
IL-Chicago	5307	Construct bike path	1,012,000	0	1,012,000	1,012,000
IL-Chicago	5307	Construct pedway	480,000	0	480,000	480,000
IL-Chicago	5307	Complete pedway signage	80,000	0	80,000	80,000
IL-DOT	5310	Purchase paratransit vehicles	0	108,625	108,625	108,625
Subtotal (IL)		(12 projects)	4,415,200	465,675	4,880,875	4,679,825
IN-Indianapolis	5307	Support reduce fare prg for ozone abatement	100,000	0	100,000	100,000
IN-Indianapolis	5307	Transit fare program	100,000	0	100,000	100,000
IN-NWIN	5307	Purchase vehicles / equipment	235,616	0	235,616	235,616
IN-NWIN	5307	Demo projects	46,132	0	46,132	46,132
IN-NWIN	5307	Purchase self-propelled rail cars	3,000,000	0	3,000,000	3,000,000
IN-South Bend	5307	Purchase vehicles / equipment	250,000	0	250,000	250,000
Subtotal (IN)		(6 projects)	3,731,748	0	3,731,748	3,731,748
LA-Baton Rouge	5307	New service	477,000	0	477,000	477,000
Subtotal (LA)		(1 project)	477,000	0	477,000	477,000
MA-Boston	5307	Bike lockers; Expand pkg lot	220,000	0	220,000	220,000
MA-Boston	5307	PNR improvements	0	2,400,000	2,400,000	2,400,000
MA-Boston	5307	Rehab 2 shelters on green line	0	263,000	263,000	263,000
MA-Boston	5307	Construct transportation center	800,000	0	800,000	800,000
MA-Boston	5307	Parking improvements	960,080	1,785,520	2,745,600	2,745,600
MA-Boston	5307	Parking improvements	0	11,786,480	11,786,480	11,786,480
MA-Boston	5307	Bridge improvements	0	4,480,000	4,480,000	4,480,000
MA-Boston	5307	Bridge improvements	0	1,600,000	1,600,000	1,600,000
MA-Boston	5307	Rehab kiosks	0	750,000	750,000	80,000
MA-Boston	5307	Purchase vehicles	133,000	0	133,000	0
MA-DOT	5307	Carryover from old project	3	0	3	0
MA-DOT	5307	New shuttle service	483,356	0	483,356	483,352
MA-DOT	5307	Barnstable Summer Shuttle	80,000	0	80,000	0
MA-DOT	5307	New service	237,000	0	237,000	237,000
MA-DOT	5307	Purchase vehicles	237,000	0	237,000	0
MA-DOT	5307	Purchase vehicles	166,400	0	166,400	0
MA-DOT	5307	Purchase vehicles	72,700	0	72,700	0
MA-DOT	5311	Purchase buses	180,000	0	180,000	180,000
MA-DOT	5311	TDM projects	303,880	0	303,880	0
MA-Fitchburg	5307	Purchase buses	560,000	0	560,000	560,000
MA-Hyannis	5307	Purchase vehicles	92,400	0	92,400	0
MA-Hyannis	5307	ITS equipment	540,400	0	540,400	540,400
MA-Hyannis	5307	Purchase 2 intercity coaches	0	577,560	577,560	577,560
MA-Hyannis	5307	Access road improvements	0	160,000	160,000	160,000

Table 38

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
MA-Lawrence	5307	Purchase vehicles	1,440,000	0	1,440,000	1,440,000
MA-Lawrence	5307	Purchase buses	1,734,693	0	1,734,693	1,734,693
MA-Lawrence	5307	New service	311,760	0	311,760	0
MA-Lowell	5307	Purchase alternative fuel vehicles	509,800	0	509,800	509,800
MA-Lowell	5307	Terminal expansion project	800,000	0	800,000	800,000
MA-Lowell	5307	Terminal expansion project	800,000	0	800,000	800,000
MA-Lowell	5307	Rehab commuter rail station	0	415,057	415,057	415,056
MA-New Bedford	5307	Shuttle service; Reduced fare	100,253	0	100,253	0
MA-Springfield	5307	Carryover from old project	20	0	20	0
MA-Springfield	5307	Construct PNR	34,400	0	34,400	34,400
MA-Springfield	5307	Purchase vehicles	2,500,000	0	2,500,000	2,500,000
MA-Springfield	5307	Purchase vehicles	171,200	0	171,200	0
MA-Taunton	5307	Shuttle service	80,000	0	80,000	80,000
MA-Taunton	5307	New service	98,400	0	98,400	98,400
MA-Worcester	5307	Bike racks	59,060	0	59,060	0
MA-Worcester	5307	Construct intermodal transp. center	4,400,000	0	4,400,000	4,400,000
MA-Worcester	5307	Construct intermodal transp. center	1,440,000	0	1,440,000	1,440,000
Subtotal (MA)		(39 projects)	19,545,805	24,217,617	43,763,422	41,365,741
MD-Baltimore	5307	Purchase LRTs; Rehab bus engines	11,102,000	0	11,102,000	11,102,000
Subtotal (MD)		(1 project)	11,102,000	0	11,102,000	11,102,000
ME-DOT	5307	Purchase buses	0	84,000	84,000	84,000
ME-DOT	5307	Purchase vehicles	0	49,200	49,200	0
ME-DOT	5307	Purchase vehicles	1,411,200	0	1,411,200	0
ME-DOT	5311	Expanded service	628,000	0	628,000	628,000
ME-DOT	5311	Purchase buses	50,000	0	50,000	50,000
ME-DOT	5311	Purchase buses	213,496	0	213,496	213,496
Subtotal (ME)		(6 projects)	2,302,696	133,200	2,435,896	975,496
MI-Detroit	5307	Marketing	39,000	0	39,000	39,000
MI-Detroit	5307	Purchase trolley bus	200,000	0	200,000	200,000
MI-Detroit	5307	Purchase vehs; Design CNG facility	1,600,000	800,000	2,400,000	2,400,000
MI-Detroit	5307	Purchase vehicles	400,000	0	400,000	0
MI-Detroit	5307	Purchase vehicles / equipment	0	80,000	80,000	80,000
MI-DOT	5310	Bldg improvement; purchase vehicles	0	60,500	60,500	60,500
MI-DOT	5311	Purchase vehicles	200,000	1,067,356	1,267,356	1,267,356
MI-Grand Rapids	5307	Purchase buses and spare parts	1,940,000	0	1,940,000	1,940,000
MI-Grand Rapids	5307	Purchase buses, equipment, spare parts	2,999,831	0	2,999,831	2,999,831
MI-Grand Rapids	5307	Purchase vans / equipment	336,000	0	336,000	0
MI-Grand Rapids	5307	Purchase vehicles	100,000	61,280	161,280	100,000
MI-Port Huron	5307	Purchase buses	543,200	0	543,200	543,200
Subtotal (MI)		(12 projects)	8,358,031	2,069,136	10,427,167	9,629,887
MN-DOT	5307	Carryover from old project	0	3	3	0
MN-DOT	5307	Purchase vehicles	0	224,000	224,000	0
MN-DOT	5311	Purchase buses	0	1,607,400	1,607,400	1,607,400
MN-Duluth	5307	Purchase buses / equipment	0	1,044,000	1,044,000	1,044,000
MN-Fargo-Moorhead	5307	Purchase small bus	0	59,000	59,000	59,000
MN-Rochester	5307	Purchase buses & bus shelters	0	588,000	588,000	588,000
Subtotal (MN)		(5 projects)	0	3,522,403	3,522,403	3,298,400
MO-Kansas City	5307	Union Station renovation	0	500,000	500,000	0
MO-Springfield	5307	Transit improvements to shuttle system	0	200,000	200,000	200,000
MO-St. Louis	5307	Garage	5,861,760	0	5,861,760	44,000
MO-St. Louis	5307	Improve Metrolink station	80,000	0	80,000	0
MO-St. Louis	5307	Guaranteed Ride Home Program	221,520	711,930	933,450	221,520
MO-St. Louis	5307	Bus shelter / landscaping improvements	0	142,000	142,000	142,000
MO-St. Louis	5307	Station accessibility improvements	0	807,000	807,000	807,000
MO-St. Louis	5307	Bike trail	950,000	0	950,000	950,000
MO-St. Louis	5307	PNR facility	1,168,000	0	1,168,000	0
Subtotal (MO)		(9 projects)	8,281,280	2,360,930	10,642,210	2,364,520
MT-Missoula	5307	New circ. service; Transfer center	458,659	0	458,659	458,659
Subtotal (MT)		(1 project)	458,659	0	458,659	458,659
NH-DOT	5307	Capital/planning assistance	3,756	0	3,756	0
NH-DOT	5307	Purchase vehicles	76,000	0	76,000	0
NH-DOT	5307	New service	188,515	0	188,515	0
NH-DOT	5307	2 intermodal facilities	959,200	0	959,200	0
NH-DOT	5307	Purchase vehicles	180,000	0	180,000	180,000
NH-DOT	5311	New service	49,140	0	49,140	0
NH-DOT	5311	Statewide marketing	126,400	0	126,400	126,400

Table 38

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
NH-DOT	5311	Purchase vehicles	1,818,000	0	1,818,000	1,818,000
NH-DOT	5311	Purchase vehicles	80,000	0	80,000	0
Subtotal (NH)		(9 projects)	3,481,011	0	3,481,011	2,124,400
NJ-NENJ	5307	Newark Penn Station rehab	0	3,375,000	3,375,000	3,375,000
NJ-NENJ	5307	Montclair	4,040,000	0	4,040,000	0
Subtotal (NJ)		(2 projects)	4,040,000	3,375,000	7,415,000	3,375,000
NM-Albuquerque	5307	Construct intermodal/transfer facilities	2,610,000	0	2,610,000	943,000
NM-Albuquerque	5307	PE & environmental work for PNR	400,000	0	400,000	150,000
NM-DOT	5311	Capital assistance	0	213,600	213,600	0
NM-DOT	5311	Administration	0	214,000	214,000	214,000
Subtotal (NM)		(4 projects)	3,010,000	427,600	3,437,600	1,307,000
NV-Las Vegas	5307	Purchase vehicles	0	1,400,000	1,400,000	1,400,000
NV-Reno	5307	Purchase repl. buses / equipment	741,000	0	741,000	0
Subtotal (NV)		(2 projects)	741,000	1,400,000	2,141,000	1,400,000
NY-Albany	5307	Purch vehs; ITS; TDM; Guar. Ride	1,008,000	2,880,000	3,888,000	3,816,000
NY-Buffalo	5307	Guaranteed Ride Home program	1,836,000	0	1,836,000	1,836,000
NY-DOT	5311	Purchase vehicles	750,000	0	750,000	750,000
NY-Elmira	5307	Purchase equipment	0	264,000	264,000	264,000
NY-Glen Falls	5307	Purchase 3 buses	0	328,000	328,000	240,000
NY-New York	5307	Auto. veh. location; Bus control system	0	300,002	300,002	0
NY-New York	5307	Centralized maintenance facility	0	16,000,000	16,000,000	16,000,000
NY-New York	5307	Station & PRN rehab; Line equipment	26,100,000	144,000	26,244,000	0
NY-New York	5307	Purchase buses	0	12,880,000	12,880,000	12,880,000
NY-New York	5307	Fleet management	0	750,000	750,000	0
NY-New York	5309	Mt. Vernon Intermod. Center	0	5,073,897	5,073,897	5,073,897
NY-Poughkeepsie	5307	Purchase vehicles	0	1,140,000	1,140,000	1,140,000
NY-Syracuse	5307	Construct intermodal center	0	8,860,000	8,860,000	8,860,000
Subtotal (NY)		(13 projects)	29,694,000	48,619,899	78,313,899	50,859,897
OH-Canton	5307	Carryover from old project	0	22	22	0
OH-Cincinnati	5307	Express bus service	130,000	0	130,000	130,000
OH-Cincinnati	5307	Clean Air project	1,096,000	0	1,096,000	1,096,000
OH-Cleveland	5307	Purchase vehicles	1,800,000	0	1,800,000	0
OH-Cleveland	5307	Carryover from old project	0	266	266	0
OH-Columbus	5307	Purchase buses	1,125,000	0	1,125,000	1,125,000
OH-Columbus	5307	Purchase vehicles	1,125,000	0	1,125,000	0
OH-Dayton	5307	Electric trolley infrastructure	151,400	0	151,400	151,400
OH-Lorain-Elyria	5307	Purchase vehicles	0	65,850	65,850	65,850
Subtotal (OH)		(7 projects)	5,427,400	66,138	5,493,538	2,568,250
OK-Tulsa	5307	Neighborhood project	150,000	0	150,000	150,000
Subtotal (OK)		(1 project)	150,000	0	150,000	150,000
OR-DOT	5310	Purchase vans	0	484,079	484,079	484,079
OR-DOT	5311	Purchase vehicles	0	1,078,120	1,078,120	1,078,120
OR-Eugene-Sprngfld	5307	Construct PNR; Reconstruct station	0	697,000	697,000	0
OR-Portland	5307	Support development projects	0	1,000	1,000	0
OR-Portland	5307	Purchase vehicles	88,660	3,281,000	3,369,660	3,369,660
OR-Portland	5307	TDM program	1,177,340	81,660	1,259,000	0
OR-Portland	5307	Environmental assessment/site analysis	0	80,756	80,756	80,756
Subtotal (OR)		(7 projects)	1,266,000	5,703,615	6,969,615	5,012,615
PA-Philadelphia	5307	Acq. rail cars / sm. buses; PNR imp.	19,120,000	0	19,120,000	19,120,000
PA-Philadelphia	5307	Purchase buses	0	17,083,000	17,083,000	17,083,000
PA-Philadelphia	5307	Purchase small bus circulator	200,000	0	200,000	0
PA-Reading	5307	CNG compressing station	402,000	0	402,000	0
PA-Reading	5307	Construct intermodal facility	0	280,000	280,000	0
PA-State College	5307	Purchase replacement buses	0	2,480,000	2,480,000	2,480,000
PA-State College	5307	Purchase shop equipment	0	120,000	120,000	0
PA-Williamsport	5307	Construct intermodal facility	0	125,000	125,000	0
Subtotal (PA)		(8 projects)	19,722,000	20,088,000	39,810,000	38,683,000
PR-San Juan	5307	Tren Urbano	0	31,718,232	31,718,232	0
Subtotal (PR)		(1 project)	0	31,718,232	31,718,232	0
RI-DOT	5307	New service	800,000	0	800,000	640,000
RI-DOT	5307	Purchase buses; Urban core project	5,240,000	0	5,240,000	3,640,000
RI-DOT	5307	Discount passes; Ozone violation ser	637,800	0	637,800	400,000
RI-DOT	5307	Capital assistance	1,271,200	0	1,271,200	1,271,200

Table 38

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
RI-DOT	5307	Demo & service initiatives	320,000	0	320,000	240,000
Subtotal (RI)		(5 projects)	8,269,000	0	8,269,000	6,191,200
SC-Charleston	5307	Purchase paratransit vehicles	0	160,000	160,000	160,000
Subtotal (SC)		(1 project)	0	160,000	160,000	160,000
TN-Chattanooga	5307	Shuttle stop / parking	0	840,000	840,000	840,000
TN-DOT	5310	Capital equipment	0	69,750	69,750	69,741
TN-DOT	5311	Capital assistance	55,000	0	55,000	0
TN-DOT	5311	Commuter bus demo project	149,000	0	149,000	0
TN-DOT	5311	Purchase vehicles / equipment	0	84,000	84,000	0
TN-Johnson City	5307	Purchase vehicles / equip	0	352,000	352,000	352,000
TN-Memphis	5307	New service for trolley system	700,000	0	700,000	700,000
TN-Memphis	5307	Suburban transfer center	0	320,000	320,000	320,000
Subtotal (TN)		(8 projects)	904,000	1,665,750	2,569,750	2,281,741
TX-Arlington	5307	Transportation demand management	90,000	0	90,000	90,000
TX-Dallas	5307	TDM projects	246,000	0	246,000	246,000
TX-Dallas/Fort Worth	5307	Carryover from old project	0	200	200	0
TX-Dallas/Fort Worth	5307	Purchase rail cars; Rehab trolley cars	2,000,000	176,000	2,176,000	2,176,000
TX-Dallas/Fort Worth	5307	Subsidized vanpool; Trnsp. mgmt.	75,000	615,000	690,000	690,000
TX-DOT	5311	Purchase buses	480,000	0	480,000	0
TX-El Paso	5307	Bus terminals	2,810,472	615,289	3,425,761	3,185,761
TX-El Paso	5307	Design / construct transit terminal	0	1,200,000	1,200,000	1,200,000
TX-El Paso	5307	New service	720,000	0	720,000	720,000
TX-Ft. Worth	5307	Construct PNR	320,000	0	320,000	320,000
TX-Houston	5307	Purchase vehicles / equipment	3,529,600	0	3,529,600	0
Subtotal (TX)		(10 projects)	10,271,072	2,606,489	12,877,561	8,627,761
UT-Salt Lake City	5307	Planning / environmental work	60,000	300,000	360,000	360,000
Subtotal (UT)		(1 project)	60,000	300,000	360,000	360,000
VA-Charlottesville	5307	Eng/design/construct of transfer facility	0	799,214	799,214	0
VA-Danville	5307	Purchase buses	0	136,000	136,000	136,000
VA-DOT	5311	Purchase buses / vans	0	1,064,000	1,064,000	1,064,000
VA-Hampton	5307	Purchase buses; Feasibility study	0	2,000,000	2,000,000	2,000,000
VA-Hampton	5307	Purchase vehicles / equipment	549,600	560,000	1,109,600	1,109,600
VA-Norfolk	5307	Demo and capital improvements	656,000	3,280,000	3,936,000	3,936,000
VA-Norfolk	5307	Rehab buses; CMAQ demo	506,400	38,400	544,800	544,800
VA-Norfolk	5307	Planning assistance	0	40,000	40,000	40,000
VA-Richmond	5307	Feasibility study for transp. center	0	250,000	250,000	0
VA-Richmond	5307	Demo; Purchase shop equipment	360,000	418,400	778,400	778,400
VA-Wash., DC-MD-VA	5307	Renovate rail station	2,200,000	0	2,200,000	2,200,000
VA-Wash., DC-MD-VA	5307	Planning assistance (reloc freight line)	0	500,000	500,000	500,000
VA-Wash., DC-MD-VA	5307	Construction of OmniRide Transit Center	0	800,000	800,000	800,000
VA-Wash., DC-MD-VA	5307	Track lease payments; Construct center	0	4,200,000	4,200,000	4,200,000
Subtotal (VA)		(14 projects)	4,272,000	14,086,014	18,358,014	17,308,800
VT-DOT	5307	New service	300,000	0	300,000	300,000
VT-DOT	5307	New service	124,000	0	124,000	30,088
VT-DOT	5307	Purchase vehicles	300,000	0	300,000	0
VT-DOT	5307	Purchase vehicles	0	120,000	120,000	0
VT-DOT	5310	Purchase vans	250,000	0	250,000	250,000
VT-DOT	5311	New demonstration service	1,133,600	0	1,133,600	1,133,600
VT-DOT	5311	Construct intermodal facility	3,200,000	0	3,200,000	3,200,000
VT-DOT	5311	Purchase vehicles / equipment	1,013,200	0	1,013,200	1,013,200
Subtotal (VT)		(8 projects)	6,320,800	120,000	6,440,800	5,926,888
WA-Bremerton	5307	Design terminal	0	24,500	24,500	24,500
WA-Bremerton	5307	Construct transportation center	0	4,500,000	4,500,000	4,500,000
WA-DOT	5307	Purchase passenger-only ferry	0	3,000,000	3,000,000	3,000,000
WA-Richland	5307	Purchase vehicles & expanded service	0	20,534	20,534	20,534
WA-Seattle	5307	Advance construction	3,232,048	500,000	3,732,048	3,732,048
WA-Seattle	5307	New service	216,000	0	216,000	0
WA-Seattle	5307	Capital assistance	3,022,580	0	3,022,580	3,022,580
WA-Seattle	5307	Hub construction	0	148,000	148,000	148,000
WA-Tacoma	5307	Bus stop improvements	899,500	0	899,500	0
Subtotal (WA)		(9 projects)	7,370,128	8,193,034	15,563,162	14,447,662
WI-DOT	5307	Construct CNG facility; Purch equip	2,017,000	0	2,017,000	1,832,000
WI-DOT	5311	Purchase vehicles / equipment	209,542	0	209,542	209,542
Subtotal (WI)		(2 projects)	2,226,542	0	2,226,542	2,041,542

**Flexible Funds Available and Obligated in FY 1998 for Transit Projects,
and Section 5307 Urbanized Area Formula Funds Transferred to FHWA
FY 1998 Transfers Plus Prior Year Carryover, As of September 30, 1998)**

FHWA FUNDS AVAILABLE TO FTA AND OBLIGATED IN FY 1998 FOR TRANSIT PROJECTS						
GRANTEE	SEC.	DESCRIPTION	CMAQ	STP	TOTAL AVAILABLE	TOTAL OBLIGATED IN FY 1998
TOTAL CMAQ & STP			\$280,296,697	\$323,048,805	\$603,345,502	\$380,296,361
OTHER TRANSFERS:						
OTHER TRANSFERS:	SEC	DESCRIPTION	OTHER	OTHER	TOTAL AVAILABLE	TOTAL OBLIGATED
CA-DOT	5307	Multi-modal transportation center	Congestion Relief	ISTEA Sec 1104	1,416,800	0
Subtotal (CA)		(1 project)			1,416,800	0
CT-DOT	5307	Construct pedestrian bridge	Ferryboat	ISTEA Sec 1064(c)	1,600,000	0
Subtotal (CT)		(1 project)			1,600,000	0
FL-Sarasota	5307	Purchase vehicles	Innovative Project	ISTEA Sec 1107	128,945	128,945
Subtotal (FL)		(1 project)			128,945	128,945
NY-Buffalo	5309	Buffalo Inner Harbor project	Priority Intermodal	ISTEA Sec 1108	13,803,832	0
NY-New York	5307	Carryover	Urban Access / Mob	ISTEA Sec 1106	2	0
NY-New York	5309	Mt. Vernon Intermodal Transp. Cen	Priority Intermodal	ISTEA Sec 1108	1,295,796	1,295,796
Subtotal (NY)		(2 projects)			15,099,630	1,295,796
PA-Pittsburgh	5309	Robinson Town Center	Rural Access	ISTEA Sec 1106	2,984,610	0
Subtotal (PA)		(1 project)			2,984,610	0
RI-Providence	5309	New service	Innovative Project	ISTEA Sec 1107	2,293,560	2,293,560
Subtotal (RI)		(1 project)			2,293,560	2,293,560
TX-Ft. Worth	5309	Construct intermodal center	Priority Intermodal	ISTEA Sec 1108	7,067,543	0
Subtotal (TX)		(1 project)			7,067,543	0
TOTAL OTHER					30,591,088	3,718,301
					TOTAL AVAILABLE	TOTAL OBLIGATED
TOTAL FLEXIBLE FUNDING ACTIVITY in FY 1998		CMAQ	STP	OTHER	\$633,936,590	\$384,014,662
		\$280,296,697	\$323,048,805	\$30,591,088		

Note: Total FY 1998 availability includes \$138.7 million from FY 1997, \$24.4 million from FY 1996, and \$3.5 million from FY 1995.

Note: Unobligated balance includes current year recoveries/adjustments from prior year(s) obligations/transfers.

NDS TRANSFERRED TO FHWA FOR HIGHWAY PROJECTS						
AREA	PURPOSE	FY 1995 TRANSFERRED	FY 1996 TRANSFERRED	FY 1997 TRANSFERRED	FY 1998 TRANSFERRED	TOTAL TRANSFERRED
IA-Des Moines	Capital assistance for highway project	0	0	150,000	0	150,000
KS-Kansas City	Swap for operating assistance at local level	375,000	375,000	375,000	375,000	1,500,000
MI-Flint	Road projects	0	0	626,000	0	626,000
NC-Durham	MIS	0	240,000	0	0	240,000
RI-Providence	Various highway projects	0	10,779,570	2,293,560	0	13,073,130
WA-Spokane	Support highway projects	1,789,050	0	929,000	1,331,000	4,049,050
TOTAL TRANSFERRED		\$2,164,050	\$11,394,570	\$4,373,560	\$1,706,000	\$19,638,180