



U.S. Department of Transportation Federal Transit Administration

Region IV

FY 2010 Updates & ARRA Phase II

11/6/2009

ORGANIZATIONAL UPDATES

Region IV Staff

- 9 new employees in FY 2009
- Geographic assignments and updated organizational chart can be found on the Region IV website at:

http://www.fta.dot.gov/regions/regional_offices_871.html

FY 2009 HIGHLIGHTS

- FY 2009 Obligations (Includes ARRA)
 - 488 Grants Awarded
 - \$1.58 Billion
- FY 2009 Lapsed Funds
 - \$17.8 Million
- Reminders for FY 2010

CONTINUING RESOLUTION

- Continuing Resolution extended through December 18, 2009.
- No new FY 2010 program funds have been made available under the Continuing Resolution.
- New program funding will be made available once the FY 2010 Appropriations are enacted.

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

TEAM

- Opened on October 21, 2009
- FY 2009 carryover and prior year funds are available (including lapsing funds).

FY 2010 CERTS & ASSURANCES

- Published in the Federal Register on 10/19/09 and loaded in TEAM
- Required to be pinned no later than 1/19/2010 or at time of grant submittal.
- Can be found at <http://www.fta.dot.gov/documents/16-Master.pdf>

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

LAPSING FUNDS

- Notifications emailed 1st week of November.
- Lapsing Funds must be awarded by September 24, 2010 (projected).
- Email Marie Lopez and your Community Planner by November 30, 2009 with your decision to apply.
- Marie's contact information: marie.lopez@dot.gov

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

CIVIL RIGHTS – (DBE, EEO, TITLE VI, ADA)

- Civil Rights program SHOULD be current prior to grant submission.
- Title VI and EEO programs **MUST** be updated every 36 months.
- MPO Title VI programs **MUST** be updated every 4 years.

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

CIVIL RIGHTS – (DBE, EEO, TITLE VI, ADA)

- Annual DBE Goal updates are due on or before August 1st.
- Semi-annual DBE Awards and Commitment reports are due on or before December 1, 2009 and June 1, 2010.
- FY 2009 & 2010 CR Compliance Reviews
- Frank Billue, Civil Rights Officer, can be contacted at (404) 865-5628 or frank.billue@dot.gov.

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

NATIONAL ENVIRONMENTAL POLICY ACT (NEPA)

- Start early! Contact your community planner assigned to your geographic area to discuss any proposed projects for an appropriate environmental action determination.
- Environmental documentation must be reviewed and approved by FTA in advance of seeking Federal funds.
- Allow 30 days for FTA review and comment of documented Categorical exclusions, EAs, and EISs.
- http://www.fta.dot.gov/planning/planning_environment_5222.html

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

DEPARTMENT OF LABOR (DOL) CERTIFICATIONS

- The following FTA programs must be submitted to DOL for certification:
 - 5307(including flex STP and CMAQ funding), 5308, 5309, 5316 and 5320.
 - 5311 Special Warranty (information only)
- DOL certification process can take 30 to 60 days.
- DOL certification must occur before grants are obligated and awarded.
- After grant submission FTA will submit your grant to DOL through TEAM.

FY 2010 PROGRAM DEVELOPMENT AND GRANT MAKING

FY 2010 GRANT MAKING DEADLINES

- | | |
|-----------------|--|
| 11/30/09 | FY 2010 Lapsing Funds response due to FTA. |
| 5/31/10 | Final date by which all grant requirements should be met. |
| 6/30/10 | Date by which all COMPLETE applications should be submitted in TEAM and transmitted to DOL. |
| 9/24/10 | <u>Projected</u> date for TEAM closure. Date by which all grants must be obligated in TEAM, particularly any containing lapsing funds. |

*Following DOL Certification all Discretionary Grants will follow the release process.

PROGRAM MANAGEMENT AND OVERSIGHT

- Triennial Reviews
 - 44 reviews conducted in FY 2009
- Closed over 265 Grants in FY 2009
- State Management Reviews
 - 4 reviews conducted in FY 2009
- FY 2010 Focus
 - Repeat findings
 - Accurate Milestone reports
 - Provide training opportunities
 - TEAM training, TBD
 - State Management Review Workshop, January 19 -20, 2010
 - Triennial Review Workshop, January 21 -22, 2010
 - Puerto Rico TR Workshop, TBD

PROGRAM MANAGEMENT AND OVERSIGHT

- TEAM Reports
 - Federal Financial Reports (FFRs)
 - Milestone Progress Reports (MPRs)
- Reminders
 - If you have a 5309 Bus and Bus Facilities grant you **MUST** report quarterly.
 - Due Quarterly: within 30 days after the end of each calendar quarter, i.e., by January 30, April 30, July 30, and October 30.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

OBLIGATION OF ARRA FUNDS

- FTA Region IV obligated 86% of the regional ARRA funds by September 1, 2009 deadline.
- Awarded 127 ARRA grants totaling \$740.3M.
- Remaining funds must be obligated by March 5, 2010.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA Region IV Apportionments

State	Amount
Alabama	46,459,047
Florida	316,196,713
Georgia	143,561,526
Kentucky	50,295,172
Mississippi	25,466,306
North Carolina	103,304,242
South Carolina	41,154,218
Tennessee	72,016,364
Puerto Rico	68,970,497
Virgin Islands	1,284,112
Total	868,708,197

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA Region IV Obligation* Rate

State	Apportionment	Obligation	Obligation Rate
Alabama	\$ 46,459,047	\$ 38,322,584	82%
Florida	\$ 316,196,713	\$ 285,148,777	90%
Georgia	\$ 143,561,526	\$ 130,980,916	91%
Kentucky	\$ 50,295,172	\$ 46,078,791	92%
Mississippi	\$ 25,466,306	\$ 19,511,174	77%
North Carolina	\$ 103,304,242	\$ 96,967,437	94%
Puerto Rico	\$ 68,970,497	\$ 46,719,225	68%
South Carolina	\$ 41,154,218	\$ 30,090,398	73%
Tennessee	\$ 72,016,364	\$ 66,489,369	92%
Virgin Islands	\$ 1,284,112	\$ 1,284,112	100%
Total	\$ 868,708,197	\$ 761,592,783	88%

***Obligation/rate amount includes flex funds**

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA PHASE II

- Requires 100% of the ARRA funds to be obligated by March 5, 2010.
- Activities Include:
 - New grant applications
 - Completion of draft applications in TEAM
 - Amendment to an existing ARRA grant

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA PHASE II GUIDANCE

- The following link provides specific guidance for UZAs.
http://www.fta.dot.gov/regions/region4/regional_offices_9284.html
- The following link provides specific guidance for State DOTs.
http://www.fta.dot.gov/regions/region4/regional_offices_8009.html

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

TYPES OF AMENDMENTS

- Zero Dollar amendment for up to 10% of funds for operating assistance.
- Amendment to add unobligated balance.
- Amendment to accommodate cost savings: adding new scope due to bids coming in under cost.

PLEASE NOTE: Grantee should clearly state in their amendment application what will be done with the ARRA funds.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

BUDGET REVISIONS

- A post-award activity (will not be processed in conjunction with an amendment application.)
- Reviewed and processed by the Office of Operations and Program Management.
- FTA will allow budget revisions to ARRA grants under the following circumstances:
 - Budget revision not requiring prior FTA approval per 5010.1D;
 - Revisions due to cost savings from bids coming in under the previous estimates.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

IMPORTANT DATES

- **November 13, 2009:** all applications submitted to DOL for the remaining ARRA Funds!! (Regional Goal)
- **December 30, 2009:** Second deadline to submit applications in TEAM/submission to DOL. (Agency Goal)
- **March 5, 2010:** Statutory deadline to obligate formula funds.*
- **March 31, 2010:** Statutory deadline for obligating TIGGER funds.
- **September 30, 2010:** Unobligated funds revert to Treasury.
- **September 30, 2015:** Last day for drawdown of ARRA funds from the U.S. Treasury.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA Oversight and Reporting Dates

- Jan 1-10: Quarterly FFR/MPR's in TEAM
- Jan 1-10: 1512 Reports at www.federalreporting.gov
- Feb 17: 1201c in TEAM

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

FREQUENT MISTAKES

- DUNS number and corresponding CCR registration was not up to date.
- Use the “OMB Data Dictionary with Added FTA Comments” available at www.fta.dot.gov.
- Expenditures reported are cumulative accrued expenses (invoices received but may or may not have been drawn yet).
- Jobs reported must be labor hours spent cumulative through the end of the quarter, and only hours that will be paid for with ARRA dollars.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

Reporting Process Timeline

- **Days 1-10:** Grantee submits report.
- **Days 11-21:** Grantee can review and make changes openly.
- **Days 22-29:**
 - Reports will close to grantees.
 - FTA reviews and data validation begin.
 - If there are any questions the report will be flagged with an “Open Comment”.
 - The system sends the grantee an automatic email to alert them that there is comment, and opens the report for further edits.
 - The grantee must RESUBMIT the report in order to clear the “Open Comments” status and take the report off of the “Open Comments” list, regardless of whether there were any edits needed.
- **Day 30:** Final Reports are published.

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

OIG FRAUD AWARENESS TRAINING

- The Department of Transportation, Office of Inspector General, has held numerous training throughout Region IV.
- Over the next couple of months, the OIG will have training opportunities in South Carolina, Georgia, Alabama, Mississippi and the Virgin Islands.
- Anyone involved in ARRA should attend.
- After this phase of training is complete, the OIG will visit ARRA recipients.
- Review your contracts and other documentation.
- Trace dollars

AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

ARRA POINT OF CONTACTS

Grant Amendment Questions

Robert Buckley

Robert.buckley@dot.gov

404-865-5618

Valencia Williams

Valencia.williams@dot.gov

404-865-5634

Amendment Review Requests

Marie Lopez

Marie.lopez@dot.gov

404-865-5616

Reporting (TEAM, 1512, 1201) and Oversight

Andres Ramirez

Andres.ramirez@dot.gov

404-865-5611

Maggie Sandberg
(non-DOT)

Margarita.sandberg@dot.gov

404-865-5612

Q & A

