Transit Vehicle Manufacturers
DBE Goal Methodology
Independent Assessment
FTA Goal Review Process

- On August 1, 2014, TVMs submit the FY15 DBE goal methodology to FTA at theresa.robinson.ctr@dot.gov

- FTA will either approve the goal and issue a “TVM Certification letter” or identify deficiencies and issue an “In Review letter”

- TVMs will be given 60 days to correct all noted deficiencies

- TVMs that do not address all deficiencies within 60 days will receive a “Disapproval letter”

- FTA will post approved TVMs on the FTA TVM webpage
DBE Goal Methodology
Independent Assessment
August 1st DBE Goal Submission

Narrative of each Element + Worksheet for Calculations
Goal Methodology
8 Minimum Requirements

Detailed list of contracting and subcontracting opportunities

- I have listed all domestic supplies and/or services outsourced when manufacturing my vehicles
  - I have classified the opportunities by appropriate NAICS codes
  - I have avoided using broad NAICS codes
- I have included the name of the FTA recipients(s) and vehicle procurements I anticipate bidding on in FY15
- I have not excluded domestically manufactured “highly technical components” or major systems (e.g., chassis, engines, etc.)
- I have excluded the supplies and services performed with my own forces
Goal Methodology
8 Minimum Requirements

Geographic Market Area(s)

- I have clearly identified my geographic market area as an individual state, a group of states, or a specific region
- I have clearly explained how I determined my geographic market area
- I am confident that my geographic market area represents a substantial majority of my contracting activity
  - I have considered the geographic location of my largest suppliers or the areas where a large majority of my products are sourced
Step 1 Base Figure

- I have clearly stated the number of ready, willing, and able **DBEs** located in my geographic market area (by NAICS code).
- I have searched State UCP Directories, FAA dbE-Connect, my bidders list, and other reliable sources to locate DBEs.
- I have clearly stated the number of ready, willing, and able **non-DBEs** located in my geographic market area (by NAICS code).
- I have searched Census data, my bidders list, and other reliable sources to locate non-DBEs.
Goal Methodology
8 Minimum Requirements

Step 1 Base Figure cont’d

- I have included a calculation that illustrates how I determined the relative availability of DBEs compared to all firms (i.e., DBE goals)
- I have clearly and consistently stated my DBE goal as the anticipated percentage of funds I will award to DBEs in FY15
- I have included a narrative explaining the process used to determine my base figure
**Goal Methodology**  
*8 Minimum Requirements*

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**Step 2 Adjustment**

- I have examined disparity studies within my geographic market area, past participation, **and** any other available evidence to determine whether my goal should be adjusted.
- I have explained whether the disparities studies are applicable to my goal.
- I have documented the sources of all additional evidence and provided links where appropriated.
Step 2 Adjustment cont’d

- If I vetted the DBEs used to calculate my goal (i.e., removed DBEs that were unable to perform on my contracts), I used a similar process for vetting non-DBEs as well
- I have included a narrative explaining the process used to determine whether my goal should be adjusted
  - If I adjusted my goal, I thoroughly explained the evidence and reasons for the adjustment; and provided calculations of past participation where appropriate
  - If I did not adjust my goal, I thoroughly explained the evidence and the reason for not adjusting the goal
Goal Methodology
8 Minimum Requirements

Race-Conscious/Race-Neutral Breakdown

- I have clearly stated the percentage of my FY15 goal to be achieved using race-conscious measures
  - I have explained how I determined the race-conscious percentage
- I have clearly stated the percentage of my FY15 goal to be achieved using race-neutral measures
- I have listed the race-neutral measures to be used throughout FY15
- I have considered the race-neutral suggestions listed in 49 C.F.R. 26. 51 (b)
Sources Used to Establish the Goal

- I have listed the sources used to locate DBEs and provided links where appropriate
- I have listed the sources used to locate non-DBEs and provided links where appropriate
- I have listed the sources used for my Step 2 adjustment and provided links where appropriate
Evidence of Meaningful Consultation

- I have actively engaged minority, women, and general contractor organizations to request feedback on my goal methodology, additional DBE resources, and my overall DBE program implementation.
- I have invited DBEs and non-DBE primes and small businesses to discuss contracting opportunities (e.g., host outreach sessions).
- I have contacted transit agencies (i.e., DBELOs) to request feedback on my goal methodology.
Evidence of Meaningful Consultation cont’d

- I have summarized all comments received and explained how these comments impacted my goal
- I have included the names and titles of persons/organizations contacted
- I have included photocopies of correspondence sent/received during consultation
- I have included meeting minutes and sign-in sheets where applicable
- I have included the dates and times of conversations and information gathered during calls
Goal Methodology
8 Minimum Requirements

Proof of Publication

- I have published my FY15 goal in at least one general circulation media, one minority-focused media, and one trade publication
- I have accepted comments from the general public for 45 days after the goal notice was published
- I have included all comments received based on the publication and explained how these comments impacted my goal
  - OR, I have clearly stated that I did not receive any comments based on the publication
FY2015 Bidding Eligibility
Independent Assessment

1. Have I submitted a FY15 DBE goal to FTA
   a. If yes, move to #2
   b. If no, I am **ineligible** to bid on FTA-assisted FY15 transit vehicle procurements

2. Have I received a DBE Goal Approval Letter
   a. If yes, I am **eligible** to bid on FTA-assisted FY15 transit vehicle procurements
   b. If not, move to #3
FY2015 Bidding Eligibility
Independent Assessment

3. Have I received a DBE Goal Disapproval Letter
   a. If yes, I am *ineligible* to bid on FTA-assisted FY15 transit vehicle procurements until otherwise notified
   b. If not, I am *eligible* to bid on FTA-assisted FY15 transit vehicle procurements
Reminders

FY2015 Goal Submission
Due: August 1, 2104 to theresa.robinson.ctr@dot.gov