

# SUMMARY OF CHANGES

## 1. LEGAL

No substantive changes.

## 2. FINANCIAL

### Question 9

Added language to the Explanation indicating that interest on long-term debt is an eligible operating expense.

Updated section to reflect 5010.1D. These changes included information on when to resubmit cost allocation plans and the requirement for multi-year financial plans.

## 3. TECHNICAL

### Question 5

Added language to the Explanation describing what is an is not considered force account work.

## 4. SATISFACTORY CONTINUING CONTROL

### Question 8-11

Clarified the difference between medium-duty and heavy-duty buses in the table of FTA defined service life.

## 5. MAINTENANCE

### Areas to be Examined

Under Selection of Vehicle Maintenance Records for Review During the Site Visit , added a note concerning commuter rail systems subject to FRA regulations.

Under Selection of Facility and Equipment Maintenance Records for Review During the Site Visit, modified sentence to state “those FTA funded items that are not regulated by the FRA.”

Updated citations to reflect 5010.1D.

### Questions 1 through 4

Under Explanation, added instructions for reviewer to ask when the State Rail Safety Oversight Organization last inspected the vehicles for safety compliance and request a copy of that inspection report.

### Question 5 and 6

Under Sources of Information, expanded discussion to address the use of FRA and USCG inspections in making determinations.

### Question 12

Under Explanation deleted in the last paragraph that some rail systems not subject to FRA standards and inspections at all.

## 6. PROCUREMENT

### Exhibit 6.1

Deleted Conformance with National ITS Architecture clause from checklist.

### Exhibit 6.2

Deleted Conformance with National ITS Architecture clause from checklist.

### Exhibit 6.3

Updated List of Bus Tested to reflect changes through July 1, 2008.

### Question 8 (Deleted)

Deleted question related to including a contract term related to conformance with ITS

Updated section to reflect 4220.1F. These changes included raising micro purchase threshold from \$2,500 to \$3,000 and information of applicability to FTA-funded contracts from operating budgets.

## 7. DBE

### Question 6

Added and clarified language to include prompt release of retainage.

### Question 14

Clarified in Determination that TVM certifications that use out-of-date language (i.e., those referencing 49 CFR Part 23 rather than Part 26) are deficient.

## 8. BUY AMERICA

### References

Added references for Final Rule and Final Rule Correction issued in September 2007.

### Question 7

Under Explanation, modified wording for Final Assembly to be consistent Final Rule, 49 CFR 661.11 issued September 2007.

## 9. SUSPENSION/DEBARMENT

### References

Changed references to reflect updated regulations, 2 CFR Part 180 and Part 1200.

## **10. LOBBYING**

No substantive changes.

## **11. PLANNING/POP**

### Question 3

Clarified Sources of Information and Determination as these relate to MPO agreements that precede TEA-21 or SAFETEA-LU.

## **12. TITLE VI**

### Question 13

Expanded explanation to include the different options for service monitoring procedures. Revised determination section accordingly.

## **13. PUBLIC COMMENT PROCESS ON FARE OR SERVICE CHANGES**

No substantive changes.

## **14. HALF-FARE**

No substantive changes.

## **15. ADA**

No substantive changes.

## **16. CHARTER BUS**

Revised the entire section to update for the new Charter regulation, which became effective on April 30, 2008. Note: this section is consistent with the Charter Supplement issued in FY2008.

## **17. SCHOOL BUS**

No substantive changes.

## **18. NATIONAL TRANSIT DATABASE**

### Question 1, 2 and 3

Rearranged and slightly expanded previous Question 1 to improve flow.

Expanded the Explanation to include guidance on the reporting requirements for grantees receiving "flex funds."

### Question 6 (previous Question 4)

Expanded question to include the names and NTD ID of providers that receive pass through funding.

## **19. SAFETY AND SECURITY**

No substantive changes.

## **20. DRUG FREE WORKPLACE**

No substantive changes.

## **21. DRUG AND ALCOHOL PROGRAM**

### Question 2

In the Explanation, clarified applicability of requirements for contractors that perform body work.

### Question 3

Updated information related to description of the behavior and circumstances that constitute a refusal to take a drug and/or alcohol test for compliance with 49 CFR Part 40, as amended August 25, 2008.

### Question 5

Revised explanation to include additional information related to random testing rates for grantees that are part of a consortium.

### Question 7 (New Question)

Added question and deficiency code pertaining to whether grantees check the previous drug and alcohol testing records of new hires.

### Question 8

Deleted question pertaining to the release of testing records.

### Question 10

Revised explanation to clarify that although grantees are required to monitor their third-party contractors, FTA does not prescribe how it must be done.

### Question 11

Revised explanation to clarify that although grantees are required to monitor their drug and alcohol program vendors, FTA does not prescribe how it must be done.

## **22. EQUAL EMPLOYMENT OPPORTUNITY**

No substantive changes.

## **23. ITS ARCHITECTURE**

No substantive changes.