U.S. Department of Transportation
Federal Transit Administration

Transit Security and Emergency Preparedness

Overview of FTA Security/Emergency Preparedness
Initiatives & Roles During Emergencies

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FTA Region IV 2008 Conference
Objectives of this presentation

- Overview of FTA Security and Emergency Management Initiatives

- FTA’s roles and responsibilities before, during and after all hazards impacting the transportation industry
9/11/01 (New York) – 3/11/04 (Madrid)
7/7 & 7/21/05 (LONDON)
Post 9/11 Security Priorities

Cyber Security
Chemical Agents
Intrusion Detection
Infrastructure Hardening
Mitigation
Procurements
Information Sharing
Access Control
Emergency Response
Vulnerability Assessments
Technical Assistance
Joint Terrorism Task Force

Emergency Drills
Vehicle Sweeps
Homeland Security Advisory System
Budget Crunch Revenue Protection
Security Committee
Decontamination Teams
Intelligence Analysis
Biological Substances
Credentialing

TSA
Communication Redundancy
FEDERAL TRANSIT ADMINISTRATION
FTA Top 20 Action Items list to all transit agencies helped institutionalize these security programs

- Management & Accountability (7)
- Security Problem Identification (2)
- Employee Selection (2)
- Training (3)
- Audits & Drills (2)
- Document Control (2)
- Access Control (1)
- Homeland Security (1)
Technical Assistance and Outreach

- Security Technical Assistance and Gap Products
  - Top 17 Security Action Items
  - Guidelines and Effective Practices
  - SSI Program Guidance
  - Security Manpower Planning Model
Top Priorities

1. Public Awareness
2. Employee Training
3. Emergency Preparedness
FTA Priority: Public Awareness

Tapping the 400,000 transit employees and over 30 million daily riders to increase security awareness.
“Eyes and Ears” Campaigns

SAFETY. IN NUMBERS.

We’ve increased our alertness. Please join us.

We’ve added new security methods and equipment at BART, but we can still use your help. Notice unattended packages or suspicious behavior on the train or in the station? Please tell us. Contact an employee directly, use the intercom on trains, use the white courtesy phone in the stations, or call BART police at 1-877-679-7000. Thank you for helping keep BART safe and secure.

Edward Burke is a custodian at Metro Center station. He sweeps the station with a broom. And with his eyes. That’s because safety is always on his mind while he’s on the job.

Edward’s not alone. There are 10,000 other Metro employees doing the same thing—looking out for the safety of customers like you.

The employees of Metro. Safety in numbers.

ACT against terrorism.
ACT to protect yourself and others.
ACT to promote the safety and security of the BART system.

FEDERAL TRANSIT ADMINISTRATION | REGION
Over 250 annual safety and security course offerings provided by:
- National Transit Institute (NTI) - [http://www.ntionline.com/](http://www.ntionline.com/)
- Transportation Safety Institute (TSI) - [http://www.tsi.dot.gov](http://www.tsi.dot.gov)
- Johns Hopkins University

FTA’s Office of Safety and Security requires a Strategic Curriculum Development (SCD) process to ensure quality
- Many courses approved by DHS for Transit Security Grant Program funding
Other Self Help Training Resources (NTI)

Workplace Safety and Security Program

• Audiences
  • Transit Systems
  • Commuter Railroads
  • Passenger Vessel Operations
  • Highway, Bridge and Tunnel Authorities
• Materials
• Instructor Packages
• Pocket Guides
• Computer-based Training programs
• Videos

http://www.ntionline.com/
Round One:

- May, 2002 through February, 2003, held in 18 major cities

- Networks local transit, fire, police & emergency officials

- Two days of informational exchanges, best practices & informal workshops
• Current/Revised Connecting Communities Workshops Include:
  – All hazards perspective
  – smaller regional areas
  – Include paratransit issues
  – managing transportation disadvantaged populations

• Ten (10) workshops have recently been completed:
  – Hope to have a forum in FTA’s Region IV this summer

### Future Workshops:

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Three Basics of Emergency Preparedness

Understand threats

Stay informed

Communicate clearly

http://transit-safety.volpe.dot.gov/EPG/3_ThreeBasics.html
Welcome to the ERPP Resource Website.

As a result of 9/11 and Hurricane Katrina, transit systems, their suppliers, and other entities have come to understand the urgency of preparedness in the advent of a catastrophic event. APTA’s Emergency Response and Preparedness Program (ERPP) is an online “mutual aid” tool designed to assist in times of these unfortunate disasters and other situations. This site provides a venue by which fellow transit systems and industry entities can volunteer and/or access resources in order to prepare for an expected event or in the wake of an unforeseen situation. Additionally, the information gathered on the ERPP site can enhance an organization’s existing emergency plans by adding other resources if needed.

The Federal Transit Administration (FTA) has partnered with APTA in developing and administering this program, in an effort to aid the industry’s emergency preparedness and response. To preview how the site operates, please click on the link below.

Become a Member by Registering Your Resources

Registration is FREE and simple. If your organization has available, approved resources that may be volunteered for use, please click on the link below for the appropriate form. You will receive a log on ID and will then need to create your own password.

Please note: You will need to sign a Mutual Aid Assistance Agreement Form and return that document to APTA. This form acknowledges your organization’s authorization to participate in the program.

→ See How the ERPP Website Works
→ Join ERPP Online
USDOT/FTA/DHS/APTA:

- Protection of passengers
- Protection of Transportation Workers
- Continuation of Services
Transit Bus Safety and Security Program

- Program based on objectives designed to improve safety and security for over 5,000 transit bus agencies nationwide
  - Emphasizes coordination and collaboration with stakeholders (APTA, CTAA, AASHTO)
  - Promotes an approach of incremental progress along a maturity grid
  - Strives for ongoing improvement through the advancement of technical assistance that is practical, effective and targeted
  - First phase targeted at small urban and rural agencies

- Primary FTA POCs:
  - Levern McElveen Levern.McElveen@dot.gov 202 366 1651
  - Carol Ferguson Carol.Ferguson@dot.gov 202 366-0219

• Easy to find resources
• Targeted assistance
• Self-assessment
• Forums for increased communication and collaboration
• Options for integration
• News and events
• Contacts and maps
• Demonstration
Emergency Support Functions (ESF) 
...per the National Response Plan (NRF)

- ESF 1 - Transportation: US DOT, DHS (FEMA)
- ESF 2 - Communications: DHS (IAIP/NCS)
- ESF 3 - Public Works and Engineering: DOD (USACE) and DHS (FEMA)
- ESF 4 - Firefighting: USDA (Forest Service)
- ESF 5 - Emergency Management: DHS (FEMA)
- ESF 6 - Mass Care: HHS - FEMA and American Red Cross
- ESF 7 - Resource Support: GSA
- ESF 8 - Health and Medical Services: HHS
- ESF 9 - Search & Rescue: DHS (FEMA)
- ESF 10 - Hazmat: EPA and DHS (U.S. Coast Guard)
- ESF 11 - Agriculture & Nat Resources: USDA and DOI
- ESF 12 - Energy: DOE
- ESF 13 - Law Enforcement: DHS and DOJ
- ESF 14 - Public Information: USDA, DOC, DHS (FEMA), HUD and SBA
- ESF 15 - External Affairs: DHS (FEMA)
How Federal Disaster Response Works (Basic)

- Local jurisdiction cannot provide, asks State
- State cannot provide, asks Feds
- Governor requests and President issues Disaster Declaration
- FEMA issues Mission Assignment(s) to ESF(s) (delegates responsibility & provides funding)
- FEMA issues “Taskers” with specifics
- ESF’s provide service and bill FEMA according to taskers, tracked by Mission Assignment
US DOT
EMERGENCY RESPONSE TEAM INFORMATION FLOW
MAJOR INCIDENT

Secretary/Deputy Secretary

DOT Incident Management Team
Members
COS
AS for Gov Affairs
Policy Assistant
Ops Chief
Event Team Lead
IIMG Liaison

On Call Advisors
Intel
Public Affairs
General Counsel

Event Team
Lead

Ops
Plan
Finance

CMC
Ops Chief

CMC Watch

Comms
Intel

Command Centers
(RH Command, HSOIC, And TSOC)

Intel Community
CIA, FBI, NCTC

Emergency Coordinators (As Needed)

GC
PA
FAA
FTA
FRA
FHWA
FMCSA
PHMSA
SLSDC
MARAD
NHTSA
RITA

Field Team

Media
 Interviews
DHS PA

Headquarters
Regions/State/local
Owner/Operators

Emergency Support
Function 1
Atlanta (ETC)
Secretary Reps

White House

White House Staff
CSG
IIMG

Administrators
US DOT Played A Key Role in Evacuation Effort During Hurricane Katrina:
the largest civilian air evacuation ever conducted within the United States

US DOT Organized Massive Airlift Operation from New Orleans Airport

AP Photo/The Dallas Morning News, Irwin Thompson

Hurricane Katrina evacuees walk through the terminal of the Louis Armstrong International Airport on their way to a plane bound for San Antonio

New Orleans evacuees cross the tarmac to board a plane bound for San Antonio at the Louis Armstrong International Airport.
FTA’s INITIAL INTELLIGENCE/INFORMATION SHARING INITIATIVES

PT-ISAC

ST-ISAC

"Members"
Freight & Passenger Railroads
Non-Railroad Surface Transportation Sector
Other Members

Surface Transportation ISAC
Information Sharing & Analysis Center 24/7

"Cyber & Physical Threat Information Sources"
US & Foreign Governments
International CERTS
Law Enforcement Entities
Hardware & Software Vendors
AAR 24/7 Operations Center
ST-ISAC Members
EXAMPLE OF FTA's CURRENT INTEL NETWORK
10. **Participate in an Information sharing process for threat and intelligence information**
   a. Participate in information sharing networks or arrangements with:
      - State and local law enforcement and homeland security officials
      - DHS: Homeland Security Information Network (HSIN) and its mass transit portal (The HSIN portal enables secure information sharing among transit agencies and passenger rail systems at all cost to users)
      - FBI Joint Terrorism Task Force (JTTF) and/or other regional anti-terrorism task force (e.g. Terrorism Early Warning Group (TEWG), US Attorney’s Office)
      - TSA Surface Transportation Security Inspectors (STS)
      - Public Transportation Information Sharing and Analysis Center (PT-ISAC)

11. **Establish and Use a Reporting Process for Suspicious Activity (internal and external)**
    a. Through training and awareness programs, ensure transit agency employees understand the what, how, and when to report observed suspicious activity or items
    b. Use exercises to test employee awareness and the effectiveness of reporting and response procedures
    c. Ensure public awareness materials and announcements provide clear direction to the public on reporting of suspicious activity
    d. Maintain protocols to ensure that designated Security Coordinator(s) report threats and significant security concerns to appropriate law enforcement authorities and TSA’s Transportation Security Operations Center (TSOC)
    e. Maintain protocols that ensure actionable security events are included in reports to the FTA’s National Transit Database (NTD)
An FTA Information/Intelligence Resource Available to you (24/7)...

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Federal Transit Administration (FTA)
Transit Security and Emergency Management Specialist
(“Emergency Coordinator“)
FTA Website
Safety & Security/Emergency Management Links
http://transit-safety.volpe.dot.gov/ -