



U.S. Department of Transportation Federal Transit Administration

Grant Management Reporting & Close-outs

Jamie Pfister
State Programs Team





Housekeeping

- FY 2008 Grant Making
- Grant Reporting
 - FSRs and MPRs
- Grant Close-outs





FY 2008 Grant Making

- FY 2008 Apportionment and Allocation Notice has been published (January 28, 2008)
- Supplemental notice dealing with earmarks and project extensions identified in Reports accompanied the FY 08 Appropriations Act.
- Start preparing grants for FY06 (lapsing) funds first, then FY 07, FY 08





FY 2008 Grant Making

Region IV deadlines for complete grant applications in TEAM:

- Discretionary Grant Programs
 - June 15, 2008
- Formula Grant Programs
 - July 15, 2008





Reporting Requirements

- **Financial Status & Milestone Progress Reports (TEAM)**
- *Civil Rights Reports*
- *National Transit Database (NTD)*
- *Annual Single Audit (A-133)*





Financial Status Reports (FSRs)

- Financial Status Reports (FSRs)
 - Provides a current, complete and accurate financial picture of the grant and the projects within the grant
 - FSR must be submitted for all active grants
 - Submitted electronically in TEAM system





Financial Status Reports (FSRs)

TEAM-Web (Production Database) AL-03-0029-00 - Microsoft Internet Explorer

Financial Status Report

Summary | Financial Status | Remarks & Comments

Work In Progress Submit Report

Recipient: 1000 ALABAMA DEPARTMENT OF TRANSPORTATION

Project: AL-03-0029-00 Statewide Capital Equipment Project

Amendment: Statewide Capital Equipment Project

Quarterly Monthly Other

Period: 2008,1st Quarter

Final Report? No, Not Final Report

Paper Award? Yes No

Initial Submission:

Last Update: 1/22/2008

Pre-Award Authority? Yes No

Report Status: MS/P Report In Progress, FSR In Progress

Project Status: Active

Cost Plan:

Type of Rate: N/A

Rate: 0.00%

Base: \$0.00

Total Amount: \$0.00

Federal Amount: \$0.00





Financial Status Reports (FSRs)

TEAM-Web (Production Database) AL-03-0029-00 - Microsoft Internet Explorer

Financial Status Report

Summary | Financial Status | Remarks & Comments

Line: Transactions:	Previous	This Period	Cumulative
A. Total Outlays:	\$6,156,394.00	\$0.00	\$6,156,394.00
B. Recipient Share of Outlays:	\$1,088,337.00	\$0.00	\$1,088,337.00
C. Federal Share of Outlays:	\$5,068,057.00	\$0.00	\$5,068,057.00
D. Total Unliquidated Obligations:			\$0.00
E. Recipient Share of Unliq. Oblig:			\$0.00
F. Federal Share of Unliq. Oblig.:			\$0.00
G. Total Federal Share (C+F):			\$5,068,057.00
H. Total Federal Funds:			\$5,166,184.00
I. Unobl. Bal. of Fed Funds (H-G):			\$98,127.00





Financial Status Reports (FSRs)

TEAM-Web (Production Database) AL-03-0029-00 - Microsoft Internet Explorer

Financial Status Report

Summary Financial Status Remarks & Comments

Recipient Remarks

1st qtr FY 2008..No activity in grant during this reporting period. Balance of grant is earmarked for City of Dothan and Marshall County. Anticipate grant balance will be obligated and expended during 2008.

FTA Remarks





Milestone/Progress Reports (MPR)

- Milestone/Progress Reports (MPRs)
 - Provides the current status of activities contained in the grant; includes detailed discussion of all budget and schedule changes, including explanation of expected delays.
 - MPR must be submitted for all active grants
 - Submitted electronically in TEAM system





Milestone/Progress Reports (MPRs)

TEAM-Web (Production Database) AL-03-0029-00 - Microsoft Internet Explorer

Milestones Status Report

Summary | Milestone Status

Work In Progress Submit Report

Recipient: 1000 ALABAMA DEPARTMENT OF TRANSPORTATION

Project: AL-03-0029-00 Statewide Capital Equipment Project

Amendment:

Period: FY2008, 1st Quarter **Paper Award?** Yes No **Pre-Award Authority?** Yes No

Quarterly Monthly Other **Initial Submission:**

Final Report? No, Not Final Report **Last Update:** 1/25/2008

Report Status: MS/P Report In Progress; FSR In Progress

Project Status: Active Amendment

Project Status Overview

1st qtr 2008..Majority of funds expended. Grant balance is earmarked for City of Dothan and Marshall County. Anticipate grant balance will be obligated and expended during 2008.





Milestone/Progress Reports (MPRs)

TEAM-Web (Production Database) AL-03-0029-00 - Microsoft Internet Explorer

Milestones Status Report [Print] [ADD] [DELETE] [Cut] [Copy] [Paste] [Save] [Cancel] [OK]

Summary | Milestone Status

Scope-ALI	Seq No	Milestone Description	Orig. Est. Comp. Date	Rev. Est. Comp. Date	# Rev	Actual Comp. Date
99604-111215	1	RFP/IFB OUT FOR BID	2/28/2000		0	2/28/2000
	2	CONTRACT AWARDED	3/15/2000		0	3/15/2000
	3	FIRST VEHICLE DELIVERED	6/15/2000	9/30/2005	2	2/28/2005
	4	ALL VEHICLES DELIVERED	9/15/2002	12/31/2007	4	
	5	CONTRACT COMPLETE	12/30/2002	12/31/2007	6	
99605-111204	1	RFP/IFB OUT FOR BID	2/28/2000		0	2/28/2000
	2	CONTRACT AWARDED	3/15/2000		0	3/15/2000
	3	FIRST VEHICLE DELIVERED	6/15/2000		0	10/31/2001
	4	ALL VEHICLES DELIVERED	9/15/2001		0	10/31/2001

Line Item: 111215 buy replacement van (83)

FTA Amount: \$63,919.00 **Total Eligible Cost:** \$77,013.00 **Quantity:** 2

3rd Party Contractor Code: [Dropdown]

Milestone Detail Description Milestone Progress

[Text Area] [Text Area]





FSRs and MPRs

- Due Dates:
 - Annual: 30 days after the end of the Federal Fiscal year, which ends October 30.
 - Quarterly: within 30 days after the end of each calendar quarter, i.e., by January 30, April 30, July 30, and October 30.





FSRs and MPRs – FTA role

- FTA Review
 - Review FSR Data and comments
 - Review Project Fund Status information
 - Compare reported expenditures to recorded disbursements
 - Review MPR information for current dates and project status
 - Monitor progress and status of projects
 - Follow-up with grantee on any discrepancies





Grant Close-outs

- “New Closeout Process” in TEAM
- Grantee must submit a Final FSR, MPR, and budget; if necessary, a request to de-obligate funds and any other report as required by the terms of the grant.
- FTA may unilaterally initiate grant closeout.
- All financial, programmatic records and supporting documentation must be retained for a period of 3 years.





Grant Close-outs

- 2 Year Rule
 - FTA has established guidelines to close-out grants *with no signs of activity for 2 years*, unless the grantee provides justification to support the grant remaining open.
- 5 Year Rule
 - FTA has established guidelines to close-out grants *that have remained open for 5 years*, unless the grantee provides justification to support the grant remaining open.





Grant Close-outs: Friendly Reminders

- Prepare & provide close-out schedule for all active grants
- Track 95-100% disbursed
- Consider deobligating small amounts of funding
- Submit Final FSR and MPRs (mark report "Yes, Final Report" in TEAM)
- 3  Checks on the "Closeout" Screen
- Email FTA a list of grants that have been prepared for close-out





Questions?





Route to Success: Today and Beyond

- Partnering with FTA
 - State Programs Team
 - Semi-Annual Meetings – Rotate and Atlanta
 - Conference Calls – Quarterly or as needed
 - Individual Quarterly Meetings
 - Training
 - DOT sponsored meetings (FTA rep. available)
 - Upcoming Region-wide Conference
 - Awards' Nominations
 - Breakout Sessions – Presentations
 - Executive Track/Agenda
 - Emergency Preparedness Exercise





Route to Success: Today and Beyond

- What: Region IV Region-wide Conference
<http://routetosuccess.net>
- Who: State DOTs, Grantees, Transit Associations
- Where: Loudermilk Conference Center
- When: May 28-30, 2008
- REGISTER BY APRIL 25th!!

