U.S. Department of Transportation
Federal Transit Administration

State Management Reviews: FY 2008 and Beyond

David Schilling, Team Member
State Programs Team Meeting
Atlanta, GA
Overview

• State Management Review (SMR) Overview
• SMR Findings/Lessons Learned
• SMRs in Region IV
• SMR Technical Assistance
SMR Overview

• State Management Reviews assess state management practices and program implementation of the following FTA programs:
  - Elderly Individuals and Individuals with Disabilities Program (5310),
  - Non-Urbanized Areas Program (5311)
  - Capital Investments Grants Program (5309)
  - Job Access and Reverse Commute Program (5316)
  - New Freedom Program (5317)

• The purpose of the reviews is to ensure the programs are being administered in accordance with FTA requirements and are meeting program objectives.
SMR Process

- FTA Headquarters develops list of states subject to SMR
- FTA selects SMR contractor
- Desk Reviews – FTA Region Offices
- Agenda Packages
- Review/Draft Report
- Final Report/Corrective Action
- Correct Findings (by due date)
- SMR Close-Out Letter
FY 2008 SMR Findings

Findings from 19 SMRs and 3 SMR/TRs

- Grants Administration: 14%
- Title VI: 11%
- Program Management: 14%
- Maintenance: 8%
- Buy America: 7%
- OBE: 7%
- Procurement: 7%
- Financial Management: 6%
- ADA: 5%
- Remaining 17 Areas: 21%
SMR Lessons Learned from FY 2008

FY 2008 SMR Lessons Learned:

- More financial training for DOT staff (cross training within DOT departments responsible for MPRs/FSRs)
- More procurement training
- Training on Buy America requirements
- SMR Contractors to provide more best practices or lessons learned from other areas
FY 2009 Plans

• Continue efforts to identify state grantees
• Continue Combined Review program efforts
• Develop 2010 guidance
• Develop and Pilot Tribal Transit Program
• Publish periodic newsletter
FY 2009 Reviews

• SMR
  - Vermont
  - New York
  - DC
  - PRHTA
  - Ohio
  - New Mexico
  - Nebraska
  - Colorado
  - Wyoming
  - Arizona
  - Oregon
  - Idaho

• Combined
  - Rhode Island
  - New Jersey
  - Virgin Islands
  - Georgia
  - Wisconsin
  - Louisiana
  - Nevada
SMRs in Region IV

Region IV SMRs in FY 2008:
- Tennessee DOT
- North Carolina DOT
- Kentucky Transportation Cabinet
- Mississippi DOT

Region IV SMRs in FY 2009:
- Georgia DOT
- Virgin Islands Department of Public Works

Region IV SMRs in FY 2010:
- Alabama DOT
- Florida DOT
- South Carolina DOT
2009 SMR Future Training

FY 2009 Workshops
• Seattle, WA May 7 - 8, 2009
• Denver, CO May 12 - 13, 2009

State Programs Meeting
• Washington DC - August 12-14, 2009

Website for information:
2010 and Beyond

2010
• 17 Reviews
• Workshops in Boston, Atlanta, Ft. Worth, and San Francisco

2011
• 21 Reviews
• Workshops: Philadelphia, Atlanta, Chicago, Kansas City
• State Programs Meeting in Washington, DC
David Schilling
State Programs Team Member
Email: david.schilling@dot.gov
Phone: 404.865.5645