Recovery Act
Certifications and Reporting

April 16, 2009
Overview

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3. Reporting
   - FTA Reporting Requirements
   - ARRA Sec. 1201(c)(2)
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ARRA Certifications

1. ARRA Sec. 1201:
   - Maintenance of Effort Certification

2. ARRA Sec. 1511:
   - Project Vetting Certification

3. ARRA Sec. 1607:
   - Accepting Funds and Responsibility
ARRA Certifications

- Secretary LaHood Letter to Governors – February 27, 2009
- ONE-DOT Certs – for FHWA and FTA
- Certifications Received are posted on www.dot.gov recovery site
Cert: ARRA Sec 1201- MOE

- Maintenance of effort of State funding for all modes of transportation
- Applies to funding planned from 2/17/09 through 9/30/2010
- ONE-DOT Certification required by March 19
- FY 2011 FHWA funding will be affected by failure to meet MOE for State funding
Cert: Sec. 1607 – Accepting the Funds

- S. 1607 – Governor accepts ARRA funds and will use them to create jobs and promote economic health
- Applies Government wide – all agencies and programs
- Due April 3, 2009
- Send copy to DOT
- Legislature may accept if Gov. declines ARRA funds
Cert: ARRA Sec 1511 – Project Vetting Cert

• ARRA – Projects have been vetted and are good investments of ARRA $

• Gov’t wide Requirement- must be received before award

• One State cert based on TIP/STIP Process sufficient for FTA/FHWA if it cites STIP and live link to STIP that includes/or will include transit projects

• FHWA/FTA projects must be listed in STIP with ARRA as funding source
Status of Region IV Certifications

• All 1201 and 1607 Certifications submitted

• 1511 Certifications posted: AL, GA, KY, MS, NC, PR, SC, TN, VI
  - 1511 certifications reference transit: AL, KY, MS, SC, VI
  - STIP/TIP live link to transit projects: AL, KY*, MS, SC**

*Only State with the ARRA transit projects modified and amended in the STIP
** Project lists for some transit projects found, but STIP amendments/mods not posted past 12-2008.
Reporting Requirements

• FTA Reporting Requirements
• ARRA Sec. 1201(c)(2) DOT
• ARRA Sec. 1512
• ARRA Sec. 1609
FTA Reporting Requirements

• Quarterly FSR and MPR reports – Standard FTA Grants management reports
• All programs report quarterly
• Report due 10 days after end of each quarter, not usual 30, to support S. 1512 reporting requirement
• Specific ARRA data reporting
Reporting: Sec. 1201 (C)(2)

- DOT Requirement in ARRA – Periodic Reports
- Due to Congress 90 days, 180 days, 1 year, 2 years, and 3 years after enactment
- First report due May 18; form to be finalized by May 8
- Second report due August 16 for cumulative period up to July 31.
- Requires financial and progress information at project level (sub-awards and contracts); likely will require info on aggregate hours and payroll data – direct jobs only
- DOT/FTA still working out logistics
Reporting: Sec. 1512

- Government-wide requirement – starts 180 days after February 17
- Quarterly Reports due starting 10 days after each quarter for grantee/30 days for DOT
- OMB announced first reports will be due October
- OMB posted proposed data guidelines for public comment; due May 1
- States encouraged to review and comment
- Detailed project/activity level information – including jobs data
Reporting: Sec. 1512 Reports (cont)

- Government-wide portal being explored to collect data
- All Recipients, sub-recipients, and contractors under ARRA must have DUNS ([www.dnb.com](http://www.dnb.com)) and CCR ([www.ccr.gov](http://www.ccr.gov)) registration
- DOT/FTA still working out logistics
Reporting: Sec 1609 Report

• Report to Congress on status of NEPA compliance for ARRA projects
• FTA will use TEAM environmental findings to extent possible
• May require additional reporting from applicants/grantees
• First report has been submitted by FTA
FTA ARRA Websites

FTA Public Site
http://www.fta.dot.gov/index_9440.html

FTA Region IV Site
QUESTIONS?