



# U.S. Department of Transportation Federal Transit Administration

## FTA 101 Training

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David Schilling, Community Planner, *State Team Member*





# Overview

- Region IV Office
- FTA Programs
- FTA Circulars





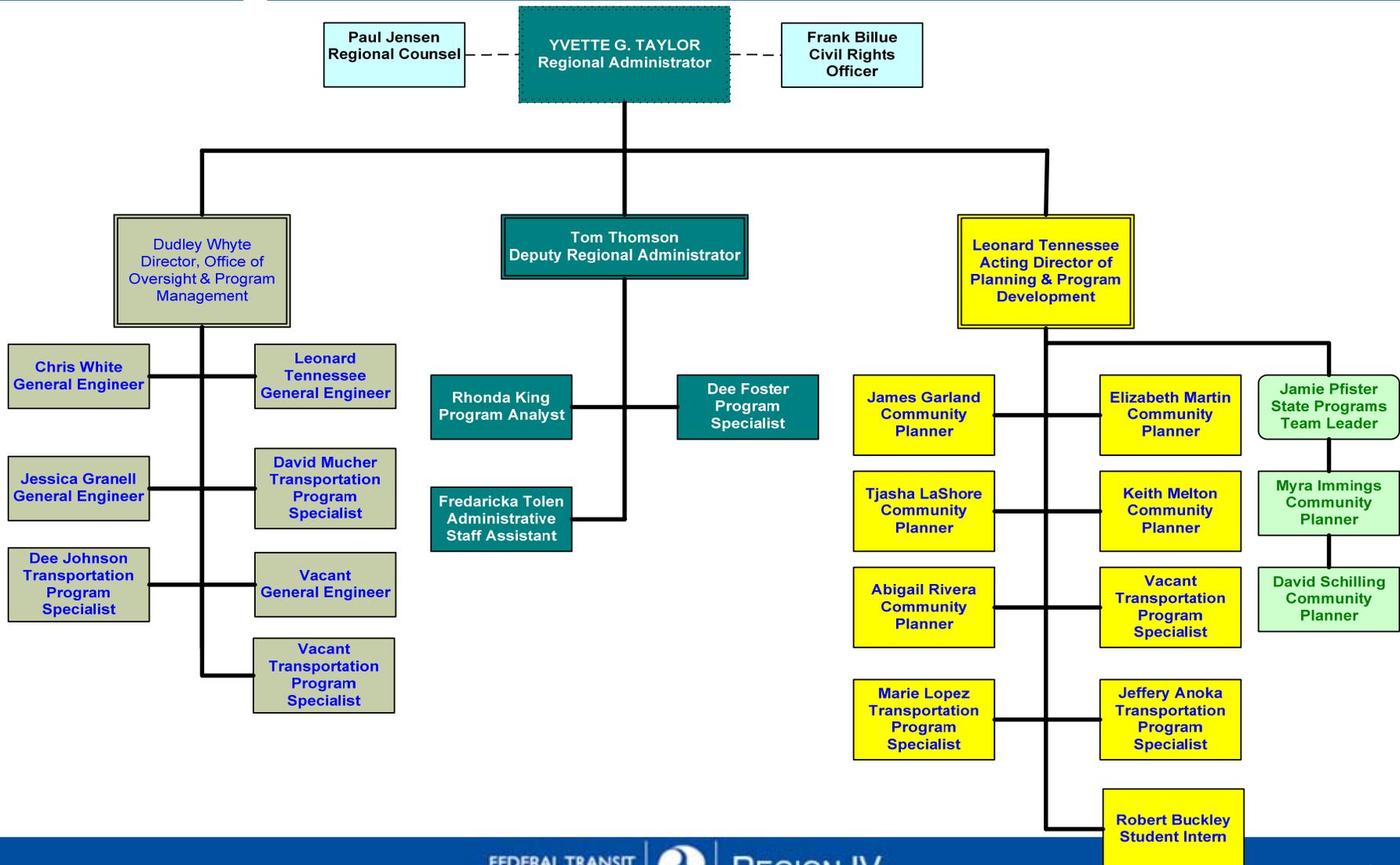
# Region IV Office

- Regional & Deputy Administrator
- Legal & Civil Rights Officer
- Community Planners & Transportation Program Specialists
- General Engineers & Transportation Program Specialists
- Support Staff





# Region IV Office – Organizational Structure





# Region IV Office – Geographic Assignment

State	Planning & Development	Management & Oversight
Alabama	Jeffery Anoka	David Mucher
	districts 1,7	Christopher White
Florida	Elizabeth Martin	Jessica Granell
	Tajsha LaShore	Jessica Granell
	Elizabeth Martin	Christopher White
	districts 4,6	Christopher White
Georgia	James Garland	David Mucher
	David Schilling	Leonard Tennessee
	with PMO	Leonard Tennessee
	without PMO	Leonard Tennessee
Kentucky	Jeffery Anoka	Jessica Granell
Mississippi	Jeffery Anoka	Dudley Whyte - TEMP
North Carolina	Keith Melton	David Mucher
Puerto Rico	Abigail Rivera	Jessica Granell
South Carolina	Tajsha LaShore	Jessica Granell
Tennessee	Abigail Rivera	Jessica Granell
Virgin Islands	Abigail Rivera	Jessica Granell

State DOT	Planning / Development & Management / Oversight
ALDOT	Myra Immings
FDOT	Jamie Pfister
GDOT, GDHR	Myra Immings
KYTC	David Schilling
MSDOT	Jamie Pfister
NCDOT	Jamie Pfister
SCDOT	David Schilling
TDOT	David Schilling





# FTA Programs

- Financial Assistance / Grant Programs
- Oversight Programs
- Research & Demonstration Programs
- Technical Assistance Programs
- National Initiatives
- Training Programs
- *Civil Rights Programs*





# FTA Grant Programs

- Formula Grant Programs
  - How much money a grantee receives is determined by a formula established in law
- Discretionary Grant Programs
  - Congress or FTA determines how much money an individual grantee receives at their discretion





# FTA Grant Programs

## Formula Programs

- 5303, 5304 Planning
- 5307 UZA Funds
- 5310 Elderly & Individuals with Disabilities
- 5311 Non-UZA Funds
- 5309 Rail Mod
- 5316 JARC
- 5317 New Freedom

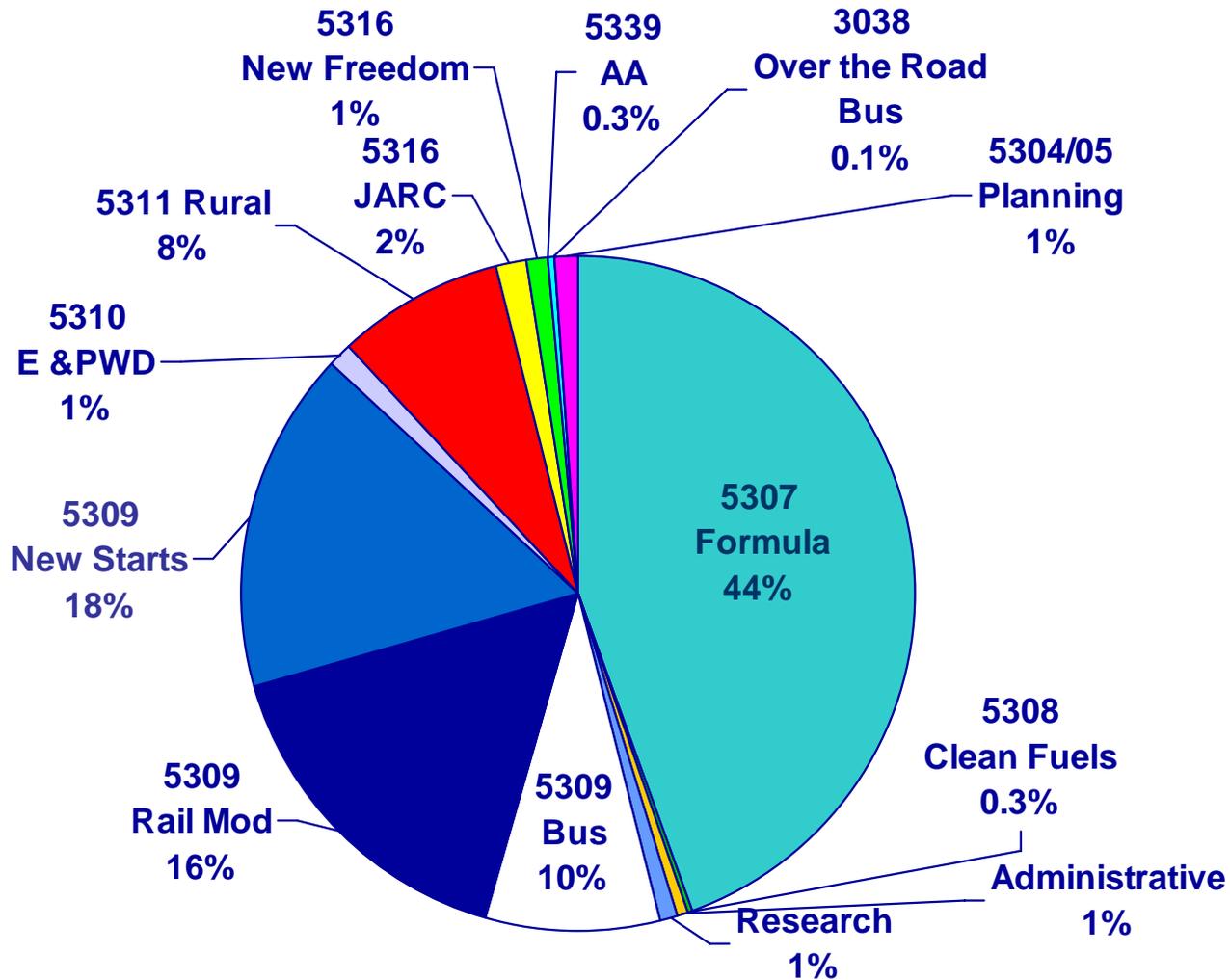
## Discretionary Programs

- 5308 Clean Fuels
- 5309 Bus and Bus Facility
- 5309 New Starts/Small Starts
- 5311 Tribal Transit
- 5314 Research
- 5320 Alternative Transportation in the Parks
- 5339 Alternatives Analysis
- 3038 Over the Road Bus





# FTA Grant Programs





# FTA Grant Programs

- Section 5303 and 5304:
  - Statewide/Metropolitan Planning Program
- Section 5307:
  - Urbanized Area Formula Program
- Section 5309:
  - Capital Fixed Guideway Modernization
  - Capital Bus and Bus Facilities Program
  - Major Capital Investments





# FTA Grant Programs

- Section 5310:
  - Transportation for Elderly Persons and Persons with Disabilities
- Section 5311:
  - Rural and Small Areas
- Section 5316:
  - Job Access and Reverse Commute Program
- Section 5317:
  - New Freedom Program





# FTA Grant Programs

- Other Discretionary Programs:
  - Section 5308: Cleans Fuels Grant Program
  - Section 5320: Alternative Transportation in the Parks and Public Lands
  - Section 5339: Alternative Analysis
- Programs can be found at:
  - [http://www.fta.dot.gov/funding/grants\\_financing\\_263.html](http://www.fta.dot.gov/funding/grants_financing_263.html)





# FTA Grant Programs

## Urbanized Area Formula – Section 5307

- Purpose: Supports transit service in cities over 50,000 in population.
- Primary Beneficiary: General public in urbanized areas
- Typical grantees: Transit Agencies and Authorities; State for areas 50,000-200,000
- Projects: Capital, Planning, Operating (<200,000)
- Circular: FTA C 9030.1





# FTA Grant Programs

## Nonurbanized Area Formula – Section 5311

- Purpose: Supports transit service in rural areas and small urbanized communities (<50,000)
- Primary Beneficiary: General public in rural and small urban areas
- Typical grantees: State DOTs with rural providers as subrecipients, Tribes
- Projects: Capital, Operating, Administration
- Circular: FTA C 9040.1





# FTA Grant Programs

## Bus and Bus Facilities – Section 5309

- Purpose: Supplements formula funding for bus and bus facilities in both urbanized and rural areas
- Primary Beneficiary: General public
- Typical grantees: Transit Agencies and Authorities, States, Cities and Counties
- Projects: Capital
- Circular: FTA C 9300.1





# FTA Grant Programs

## Elderly Individuals & Individuals with Disabilities – Section 5310

- Purpose: Supports capital cost of special transportation for elderly & people with disabilities
- Primary Beneficiary: Elderly & Individuals with disabilities
- Typical grantees: State DOTs for private non-profit agencies & certain public agencies
- Projects: Capital (*Pilot: Operating*)
- Circular: FTA C 9070.1





# FTA Grant Programs

## Job Access and Reverse Commute – Section 5316

- Purpose: Supports transportation for welfare recipients, eligible low-income individuals to and from jobs and activities related to their employment, and reverse commute activities
- Primary Beneficiary: Low-income individuals and commuters to suburban employment sites
- Typical grantees: State DOTs and Transit Authorities (subrecipients: public, private non-private, private operators of public transportation)
- Projects: Capital, Planning, Operating
- Circular: FTA C 9050.1





# FTA Grant Programs

## New Freedom - 5317

- Purpose: Supports new public transportation service and public transportation alternatives beyond those currently required by the ADA that assist individuals with disabilities with transportation
- Primary Beneficiary: Individuals with disabilities
- Typical grantees: State DOTs and Transit Authorities (subrecipients: public, private non-private, private operators of public transportation)
- Projects: Capital and Operating
- Circular: FTA C 9045.1





# Oversight Programs

- Project management oversight
- Financial management oversight
- Procurement reviews
- Triennial Reviews
- State management reviews
- State Safety Oversight reviews
- Drug and Alcohol Testing Audits
- Title VI Reviews
- Disadvantaged Business Enterprise reviews
- Equal Employment Opportunity reviews
- Americans with Disabilities Act reviews
- Planning Certification reviews





# Research & Demonstration Programs

- National Research & Technology Program (Sec. 5314)
  - Joint partnerships with public and private research institutions
  - Study, design and demonstration of transit policies and advanced technologies
  - International mass transportation program (Sec. 5312)
- National Fuel Cell Bus Program (Sec. 5308)
  - 27 projects to develop and test fuel cell buses in revenue service
- Transit Cooperative Research Program (Sec. 5313)
  - Research directed to local problem-solving in service concepts, vehicles and equipment, operations, human resources, maintenance, policy, and administrative practices
- University Transportation Centers (Sec. 5505)
  - Funding to four universities to perform research in transit disciplines and technologies





# Technical Assistance Programs

- FTA Safety & Security Program
  - Provides training and technical assistance on bus and rail safety, emergency preparedness and public awareness of security issues
- Rural Transportation Assistance Program (RTAP)
  - Provides training, technical assistance, research, and related support services to providers of rural public transportation
- ITS Professional Capacity Building Program
  - Supports deployment, integration, and operations of ITS, including both technological and institutional requirements
- Transportation Planning Capacity Building Program
  - Supports effective transportation planning in state, metropolitan, rural, and tribal settings
- National Bus Rapid Transit Institute
  - Facilitates the sharing of knowledge and innovation for increasing the speed, efficiency, and reliability of high-capacity bus service





# National Initiatives

- United We Ride
  - Interagency Federal initiative that supports States and their localities in developing coordinated human service delivery systems
- Project Action
  - Promotes cooperation between the transportation industry and the disability community to increase mobility for people with disabilities
- JobLinks
  - Works to improve transportation opportunities through demonstration projects, technical assistance, sponsoring of employment-transportation conferences, etc.





# FTA Circulars

## Circulars Published in FY 2007:

- 9040.1F: Nonurbanized Area Formula Program Guidance and Grant Application Instructions
- 9045.1: New Freedom Program Guidance and Application Instructions
- 9050.1: Job Access Reverse Commute Guidance and Application Instructions
- 9070.1F : Elderly Individuals and Individuals with Disabilities Guidance and Application Instructions





# FTA Circulars

## Proposed Circulars:

- FTA C 4220.1F: Third Party Contracting Guidance
- FTA C 5010.1D: Grant Management Requirements
- FTA C 8100.1C: Program Guidance for Metropolitan Planning and State Planning and Research Program Grants
- FTA C 9300.1B: Capital Investment Program Guidance and Application Instructions





# Questions?





# Contact Information

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