U.S. Department of Transportation
Federal Transit Administration

FTA 101 Training

Jamie Pfister, Program Manager, State Team Leader
David Schilling, Community Planner, State Team Member
Overview

- Region IV Office
- FTA Programs
- FTA Circulars
Region IV Office

• Regional & Deputy Administrator
• Legal & Civil Rights Officer
• Community Planners & Transportation Program Specialists
• General Engineers & Transportation Program Specialists
• Support Staff
<table>
<thead>
<tr>
<th>State</th>
<th>Planning &amp; Development</th>
<th>Management &amp; Oversight</th>
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<tbody>
<tr>
<td>Alabama</td>
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<td>David Mucher</td>
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<td>Florida</td>
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<tr>
<td>districts 1,7</td>
<td>Elizabeth Martin</td>
<td>Christopher White</td>
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<td>districts 2, 3</td>
<td>Tajsha LaShore</td>
<td>Jessica Granell</td>
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<td>Christopher White</td>
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<td>without PMO</td>
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<td>Myra Immings</td>
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<td>FDOT</td>
<td>Jamie Pfister</td>
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<td>David Schilling</td>
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FTA Programs

• Financial Assistance / Grant Programs
• Oversight Programs
• Research & Demonstration Programs
• Technical Assistance Programs
• National Initiatives
• Training Programs
• Civil Rights Programs
FTA Grant Programs

• Formula Grant Programs
  • How much money a grantees receives is determined by a formula established in law

• Discretionary Grant Programs
  • Congress or FTA determines how much money an individual grantees receives at their discretion
FTA Grant Programs

**Formula Programs**
- 5303, 5304 Planning
- 5307 UZA Funds
- 5310 Elderly & Individuals with Disabilities
- 5311 Non-UZA Funds
- 5309 Rail Mod
- 5316 JARC
- 5317 New Freedom

**Discretionary Programs**
- 5308 Clean Fuels
- 5309 Bus and Bus Facility
- 5309 New Starts/Small Starts
- 5311 Tribal Transit
- 5314 Research
- 5320 Alternative Transportation in the Parks
- 5339 Alternatives Analysis
- 3038 Over the Road Bus
FTA Grant Programs

- **5307 Formula**: 44%
- **5309 Bus**: 10%
- **5309 Rail Mod**: 16%
- **5309 New Starts**: 18%
- **5316 JARC**: 2%
- **5316 New Freedom**: 1%
- **5339 AA**: 0.3%
- **3038 Over the Road Bus**: 0.1%
- **5304/05 Planning**: 1%
- **5310 E&PWD**: 1%
- **5311 Rural**: 8%
- **5308 Clean Fuels**: 0.3%
- **Research**: 1%
- **Administrative**: 1%

**Programs**:
- **5307 Formula**: 44%
- **5309 Bus**: 10%
- **5309 Rail Mod**: 16%
- **5309 New Starts**: 18%
- **5316 JARC**: 2%
- **5316 New Freedom**: 1%
- **5339 AA**: 0.3%
- **3038 Over the Road Bus**: 0.1%
- **5304/05 Planning**: 1%
- **5310 E&PWD**: 1%
- **5311 Rural**: 8%
FTA Grant Programs

• Section 5303 and 5304:
  – Statewide/Metropolitan Planning Program

• Section 5307:
  – Urbanized Area Formula Program

• Section 5309:
  – Capital Fixed Guideway Modernization
  – Capital Bus and Bus Facilities Program
  – Major Capital Investments
FTA Grant Programs

• **Section 5310:**
  – Transportation for Elderly Persons and Persons with Disabilities

• **Section 5311:**
  – Rural and Small Areas

• **Section 5316:**
  – Job Access and Reverse Commute Program

• **Section 5317:**
  – New Freedom Program
FTA Grant Programs

• Other Discretionary Programs:
  – Section 5308: Cleans Fuels Grant Program
  – Section 5320: Alternative Transportation in the Parks and Public Lands
  – Section 5339: Alternative Analysis

• Programs can be found at:
FTA Grant Programs

Urbanized Area Formula – Section 5307

• Purpose: Supports transit service in cities over 50,000 in population.

• Primary Beneficiary: General public in urbanized areas

• Typical grantees: Transit Agencies and Authorities; State for areas 50,000-200,000

• Projects: Capital, Planning, Operating (<200,000)

• Circular: FTA C 9030.1
Nonurbanized Area Formula – Section 5311

- **Purpose:** Supports transit service in rural areas and small urbanized communities (<50,000)
- **Primary Beneficiary:** General public in rural and small urban areas
- **Typical grantees:** State DOTs with rural providers as subrecipients, Tribes
- **Projects:** Capital, Operating, Administration
- **Circular:** FTA C 9040.1
FTA Grant Programs

Bus and Bus Facilities – Section 5309

- **Purpose:** Supplements formula funding for bus and bus facilities in both urbanized and rural areas
- **Primary Beneficiary:** General public
- **Typical grantees:** Transit Agencies and Authorities, States, Cities and Counties
- **Projects:** Capital
- **Circular:** FTA C 9300.1
Elderly Individuals & Individuals with Disabilities – Section 5310

- **Purpose:** Supports capital cost of special transportation for elderly & people with disabilities
- **Primary Beneficiary:** Elderly & Individuals with disabilities
- **Typical grantees:** State DOTs for private non-profit agencies & certain public agencies
- **Projects:** Capital (Pilot: Operating)
- **Circular:** FTA C 9070.1
Job Access and Reverse Commute – Section 5316

- **Purpose**: Supports transportation for welfare recipients, eligible low-income individuals to and from jobs and activities related to their employment, and reverse commute activities
- **Primary Beneficiary**: Low-income individuals and commuters to suburban employment sites
- **Typical grantees**: State DOTs and Transit Authorities (subrecipients: public, private non-private, private operators of public transportation)
- **Projects**: Capital, Planning, Operating
- **Circular**: FTA C 9050.1
New Freedom - 5317

- **Purpose:** Supports new public transportation service and public transportation alternatives beyond those currently required by the ADA that assist individuals with disabilities with transportation
- **Primary Beneficiary:** Individuals with disabilities
- **Typical grantees:** State DOTs and Transit Authorities (subrecipients: public, private non-private, private operators of public transportation)
- **Projects:** Capital and Operating
- **Circular:** FTA C 9045.1
Oversight Programs

- Project management oversight
- Financial management oversight
- Procurement reviews
- Triennial Reviews
- State management reviews
- State Safety Oversight reviews
- Drug and Alcohol Testing Audits
- Title VI Reviews
- Disadvantaged Business Enterprise reviews
- Equal Employment Opportunity reviews
- Americans with Disabilities Act reviews
- Planning Certification reviews
Research & Demonstration Programs

• National Research & Technology Program (Sec. 5314)
  - Joint partnerships with public and private research institutions
  - Study, design and demonstration of transit policies and advanced technologies
  - International mass transportation program (Sec. 5312)

• National Fuel Cell Bus Program (Sec. 5308)
  - 27 projects to develop and test fuel cell buses in revenue service

• Transit Cooperative Research Program (Sec. 5313)
  - Research directed to local problem-solving in service concepts, vehicles and equipment, operations, human resources, maintenance, policy, and administrative practices

• University Transportation Centers (Sec. 5505)
  - Funding to four universities to perform research in transit disciplines and technologies
Technical Assistance Programs

• FTA Safety & Security Program
  - Provides training and technical assistance on bus and rail safety, emergency preparedness and public awareness of security issues

• Rural Transportation Assistance Program (RTAP)
  - Provides training, technical assistance, research, and related support services to providers of rural public transportation

• ITS Professional Capacity Building Program
  - Supports deployment, integration, and operations of ITS, including both technological and institutional requirements

• Transportation Planning Capacity Building Program
  - Supports effective transportation planning in state, metropolitan, rural, and tribal settings

• National Bus Rapid Transit Institute
  - Facilitates the sharing of knowledge and innovation for increasing the speed, efficiency, and reliability of high-capacity bus service
National Initiatives

• United We Ride
  – Interagency Federal initiative that supports States and their localities in developing coordinated human service delivery systems

• Project Action
  – Promotes cooperation between the transportation industry and the disability community to increase mobility for people with disabilities

• JobLinks
  – Works to improve transportation opportunities through demonstration projects, technical assistance, sponsoring of employment-transportation conferences, etc.
Circulars Published in FY 2007:

• 9040.1F: Nonurbanized Area Formula Program Guidance and Grant Application Instructions

• 9045.1: New Freedom Program Guidance and Application Instructions

• 9050.1: Job Access Reverse Commute Guidance and Application Instructions

• 9070.1F: Elderly Individuals and Individuals with Disabilities Guidance and Application Instructions
Proposed Circulars:

- FTA C 4220.1F: Third Party Contracting Guidance
- FTA C 5010.1D: Grant Management Requirements
- FTA C 8100.1C: Program Guidance for Metropolitan Planning and State Planning and Research Program Grants
- FTA C 9300.1B: Capital Investment Program Guidance and Application Instructions
Questions?
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