

Our Success Depends on You



FTA



FEDERAL TRANSIT ADMINISTRATION'S

Quest for Excellence

Best in Class
Accountability
Attention to Detail
Dedicated to Excellence

FTA Region IV

State Programs Meeting

September 13, 2007





Welcome to Region IV

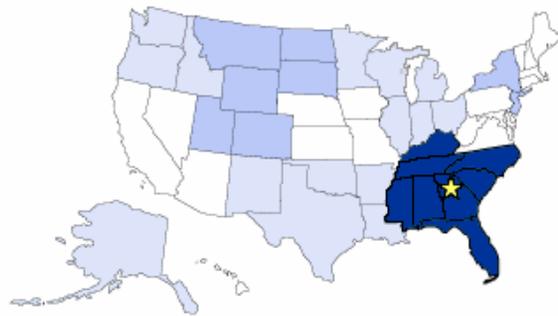
- Today's Agenda
 - Welcome and Introductions
 - State Programs Team
 - State DOT Roundtable
 - Coordinated Planning Discussion
 - Evaluations
 - Group Dinner





Welcome to Region IV

8 States and 2 U.S. Territories





What's New at FTA

- **Agency Initiatives**
 - Urban Partnership Program
 - Vision for FY 08
 - FY 08 Performance Goals
 - Teaming Approach – Agency Wide
- **SAFETEA-LU Implementation**
 - Rulemakings underway
 - Agency Guidance
 - Circulars





What's New at FTA

- Rulemakings
 - Notices of Proposed Rulemaking (NPRM)
 - Final Rules
- Policy Guidance
 - Proposed Agency Guidance
 - Final Agency Guidance
- Circulars
 - New
 - Updated
 - Proposed





What's New at FTA

- **Circulars**

- New Circulars Issued – Section 5316 (JARC), Section 5317 (New Freedom), Safety and Security Management for Major Capital Projects, Title VI
- Updated Circulars Issued – Sections 5310 and 5311
- Next Round of Draft Circulars – Section 5307, Section 5309, Statewide and Metropolitan Planning, Grants Management, Third-Party Procurement (*expected by September 30, 2007*)





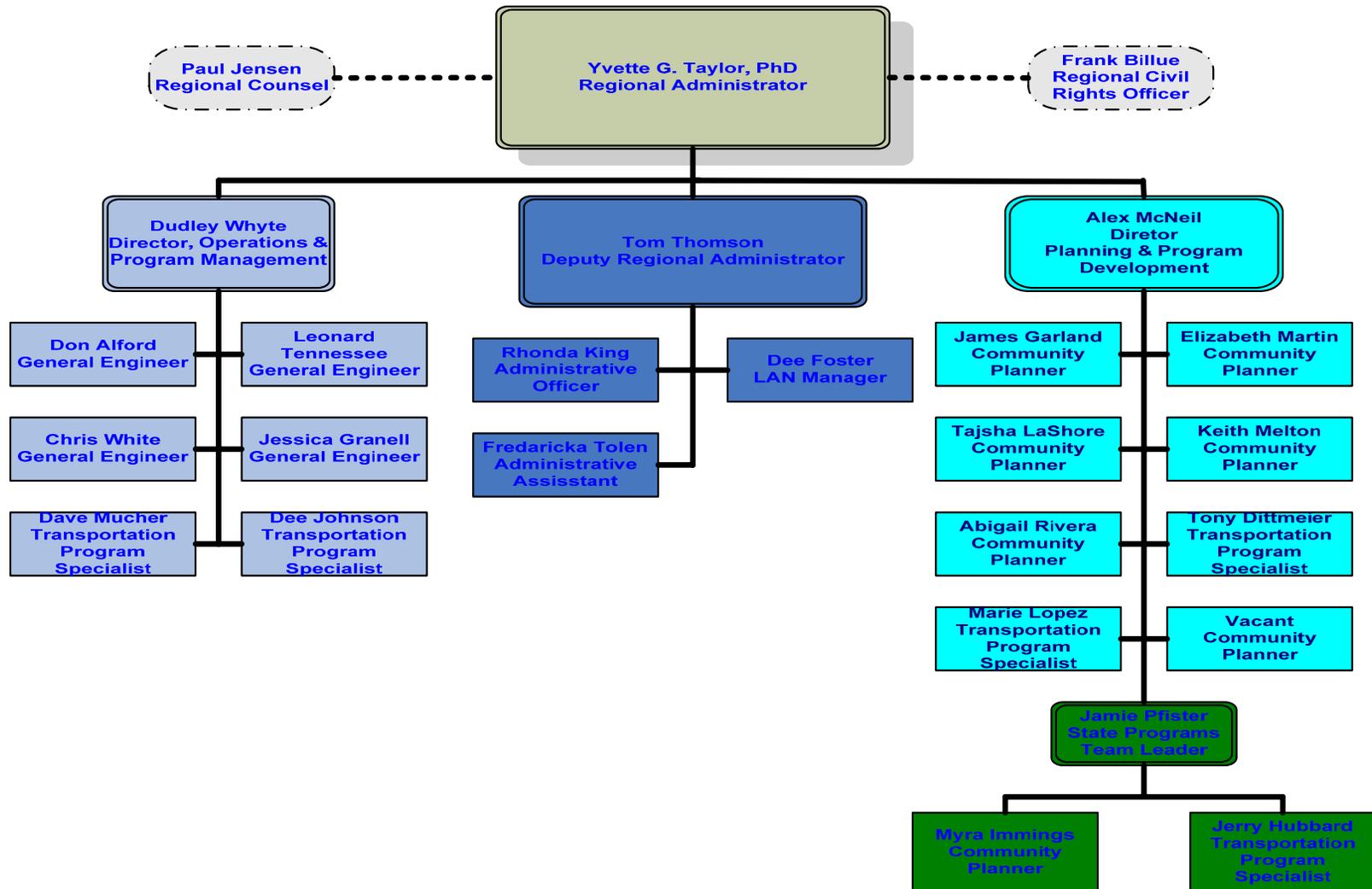
What's New in Region IV

- We Moved in June!
 - New Address: 230 Peachtree Street, NW Suite 800
 - New Phone Number: 404.865.5600
- Grant Making, Planning and Program Management Activities, and Oversight
 - 1000+ Active Grants obligated to 165 Active Grantees
 - 290 Grants Awarded in FY 07
- Organizational Changes





Region IV Organization





State Programs Team

TO SUPPORT, PROMOTE, AND ENHANCE THE STATE ADMINISTERED PROGRAMS IN THE SOUTHEASTERN REGION THROUGH THE FOLLOWING:

1. Cross-training and developing skills for both program development and management.
2. Building a more effective and interactive FTA/STATE DOT partnership.
3. Becoming a constructive and dynamic resource for program assistance to State DOTs and FTA Staff.





State Programs Team

- Team Members
 - Jerry Hubbard: ALDOT, KYTC, SCDOT
 - Myra Immings: GDOT, GDHR, TDOT
 - Jamie Pfister: FLDOT, MDOT, NCDOT





State Programs Team

- Primary Responsibilities
 - Grant Development and Management
 - Project Planning and Management
 - Oversight of State DOTs - State Management Reviews
 - Technical Assistance and Training
 - Customer Service Outreach
 - Responsible for several Specialized Grant Programs





Initial Assessment

Please rate your State DOT in the following areas	not effective	somewhat effective	effective	very effective
Planning		1	5	2
Human Service Coordinated Transportation		1	1	6
Environmental Process (NEPA)	1	3	3	1
Grant Application/Grant Making Process			5	3
Program Management		1	2	5
Project or Construction Management	1	7		
State Safety Oversight	2		2	4
Procurement		1	2	5
Financial Management		2	3	3
Congressional Earmarks		3	3	2
New Transit Systems	1	2	3	2
Civil Rights		1	6	1
TEAM-Web Expertise		5	2	1
Technical Assistance to Sub-recipients			2	6
Emergency Preparedness		2	4	2
Partnership with State Association			1	
Drug and Alcohol Compliance				1





Initial Assessment

- Needs for Technical Assistance and/or Training
 - Transit Facility Construction Management and Oversight
 - JARC/NF/5310 Program Requirements; data collection and required oversight
 - State and FTA Role in Emergency Preparedness
 - Safety Oversight
 - Planning and Environment
 - Major Capital Investments
 - Grant Making Process
 - TEAM Training and TEAM Reporting
 - Grant Close-out Process
 - Training for New State DOT Staff





Initial Assessment

- Issues you are facing at the State DOTs
 - Hiring restrictions & attracting the right skill set; staff shortages
 - New Transit Managers – Sub-recipient
 - DOT Strategic Planning – where does transit fit in?
 - Lack of State funds to utilize FTA funds
 - Cash flow
 - Placing more emphasis on transit planning – coordination and rural
 - Rural NTD
 - Difficulty with Human Service Coordinated Planning
 - Initiating 5316 and 5317 Applications
 - Population projections and how to meet needs over 60+
 - Lack of vehicles for rural providers
 - New Starts – State Process





State DOT Roundtable

- Roundtable:
 - Please share 1-2 Statewide Transit Projects or Initiatives with the group
 - 8-10 minutes each





Coordinated Planning Session

- Brief Overview of the Cross-Cutting Requirements
 - Designated Recipient
 - Coordinated Plan*
 - Competitive Selection
- Panel Discussion
 - South Carolina Approach, Diane Lackey
 - North Carolina Approach, Miriam Perry
 - Kentucky Approach, Vickie Bourne
- Exercise
- Questions





Coordinated Plan

- FTA Programs
 - Section 5310: Elderly and Disabled Formula Program
 - Section 5316: Job Access and Reverse Commute (JARC)
 - Section 5317: New Freedom (NF)
- Designated Recipient
 - JARC and NF: State agency designated by the Governor to administer the program in urbanized areas less than 200,000 in population and in rural areas.
 - 5310: State agency designated by the Governor to administer the program statewide





Coordinated Plan

- Coordinated Plan
 - States must certify that projects funded under Sections 5310, 5316, and 5317 are derived from a locally developed coordinated public transit - human services transportation plan.
 - State must certify that the plan was developed through a process that included representatives of public, private, and nonprofit transportation and human services providers and participation from the public.





Coordinated Plan

Elements of the plan:

- An assessment of available services
- An assessment of transportation needs of target population
- Strategies, activities and/or projects to address the identified gaps
- Priorities for implementation based on resources, time, and feasibility





Coordinated Plan

Tools and Strategies:

- Community Planning Session
- Self-assessment Tool
- Focus Groups
- Survey
- Detailed Study and Analysis
- Charrette
- Framework for Action





Coordinated Plan

Stakeholder involvement:

- Adequate outreach
- Broad representation (transportation partners, passengers and advocates, human service partners, others)
- Opportunity for participation & involvement (time, location, transportation available)





Coordinated Plan

Panel Discussion

- South Carolina
- North Carolina
- Kentucky





Coordinated Plan – Exercise

Discussion Points

- Designated Recipient
- Coordinated Plan
- Stakeholder Involvement
- Technical Assistance
- Competitive Selection
- Local Match
- Next Steps





Evaluation – Coordinated Planning Session

Please take a few minutes to complete the evaluation form located in the last TAB of your binders.

